
Introduction to Component-Based Software Engineering

Ivica Crnkovic
Mälardalen University,
Department of Computer Engineering
Sweden

ivica.crnkovic@mdh.se

<http://www.idt.mdh.se/~icc>

Topic overview

- 1 The challenges of SW- how can CBD help?
- 2 What is a software component?
- 3 Software Architecture and Software Components
- 4 Basic principles of component-based approach
- 5 Component-based Software Development Process
- 6 Problems and research issues
- 7 References

Part 1

The challenges of software development - how can component software help?

Software problems

NATO conf 1968
SW crisis
SW engineering
components

How to develop high quality software in an efficient and inexpensive way?

The “software crisis” (1968) still exists:

- SW is late
- SW is buggy
- SW is expensive
- SW is difficult to understand
- Software is difficult to maintain (problems with software life cycle)

SW Productivity Gap (ITEA)



MRTC

MÄLARDALEN REAL-TIME
RESEARCH CENTRE

www.itea-office.org



Challenges of Software Engineering

Problems of Software Engineering

- The size & complexity of software increases rapidly
- Single products become part of product families
- Software is upgraded after deployment
- The time-to-market must decrease significantly
- The cost of products must be reduced

(The author of slides with blue background: Michel Chaudron, TUE)

Observations on the practice of SE

About 80% of software engineering deals with changing existing software

It is not the strongest of the species that survive, nor the most intelligent, but the ones most responsive to change.
-- Charles Darwin

Time to market is an important competitive advantage: incorporate successful innovations quickly

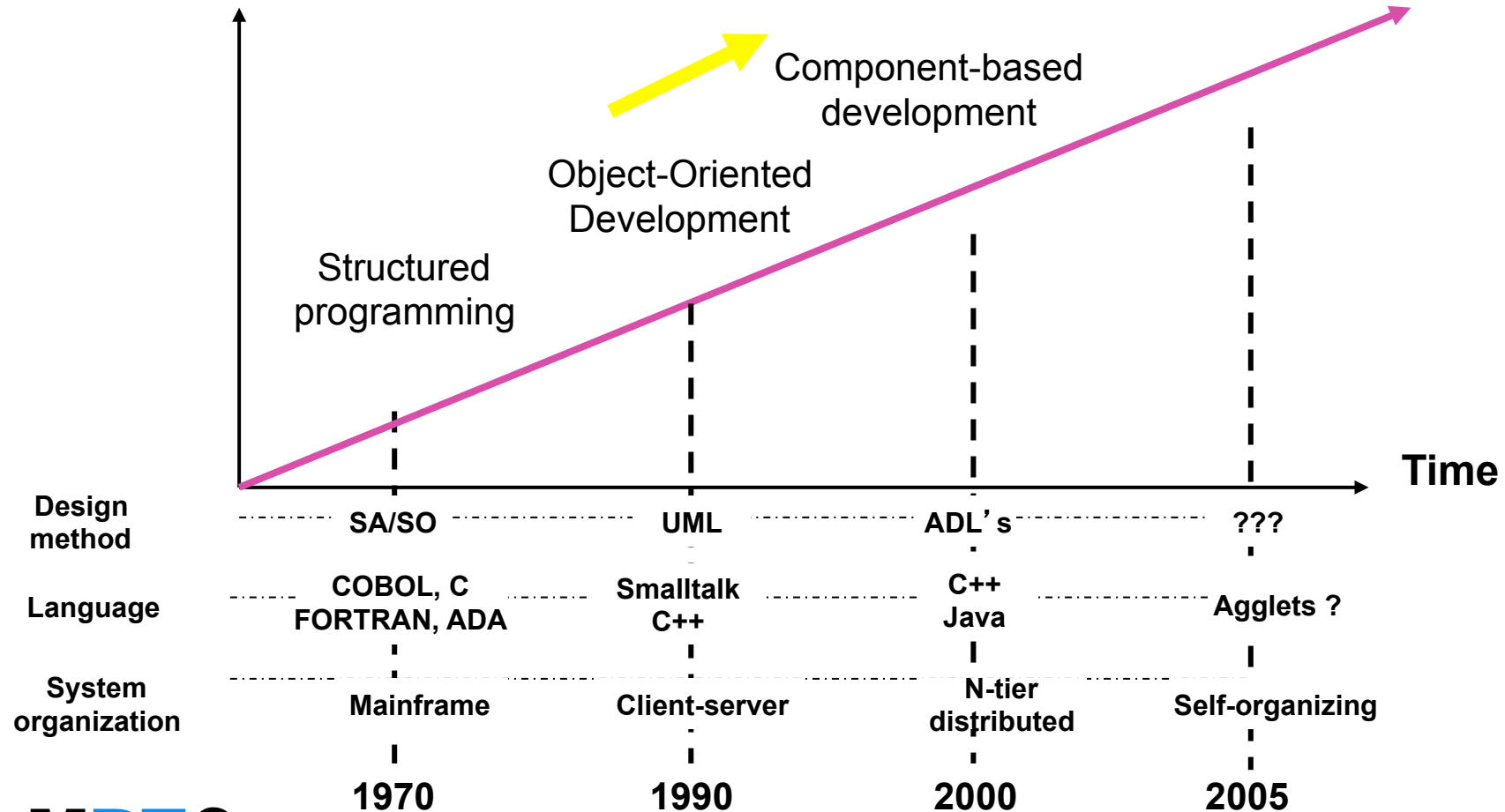
- Systems should be built to facilitate change
 - easy removal and addition of functionality

Software paradigm shift

Productivity

© 2001 ITEA Office Assoc
ITEA-Softec project

Agent-based
development



MRTC

MÄLARDALEN REAL-TIME
RESEARCH CENTRE



MÄLARDALENS HÖGSKOLA

Answer: Component-based Development

□ Idea:

- Build software systems from pre-existing components (like building cars from existing components)
- Building components that can be reused in different applications
- Separate development of components from development of systems

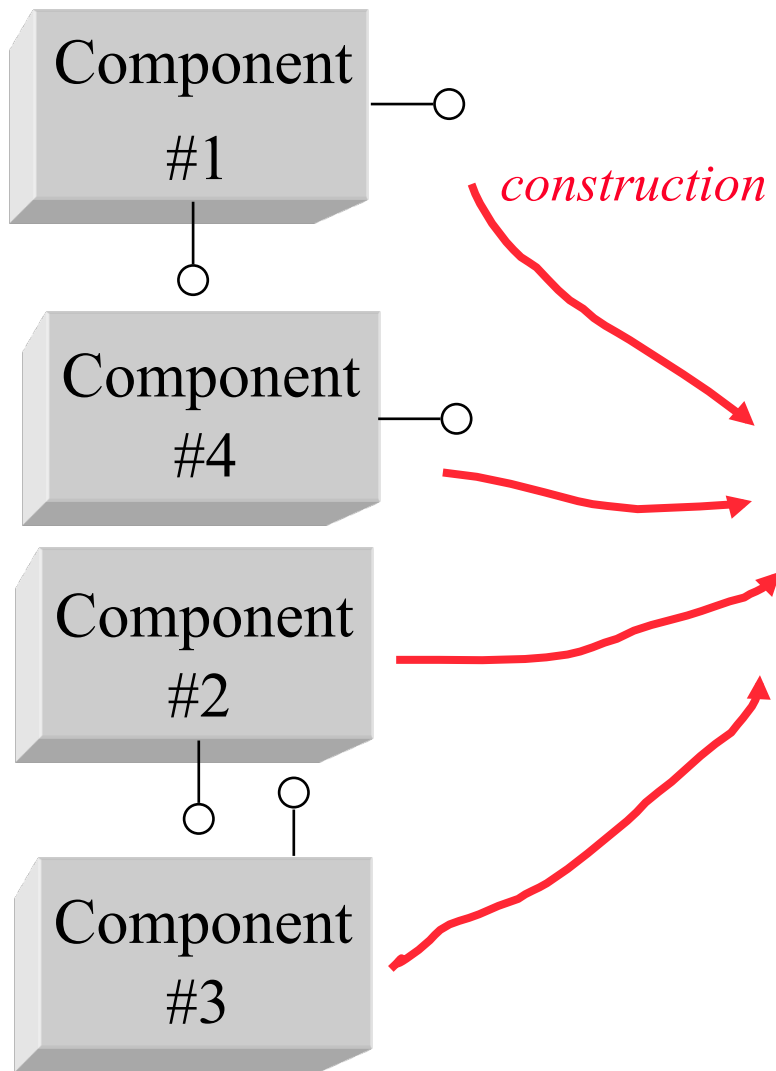
Component-Based Software Engineering (CBSE)

□ Provides methods and tools for

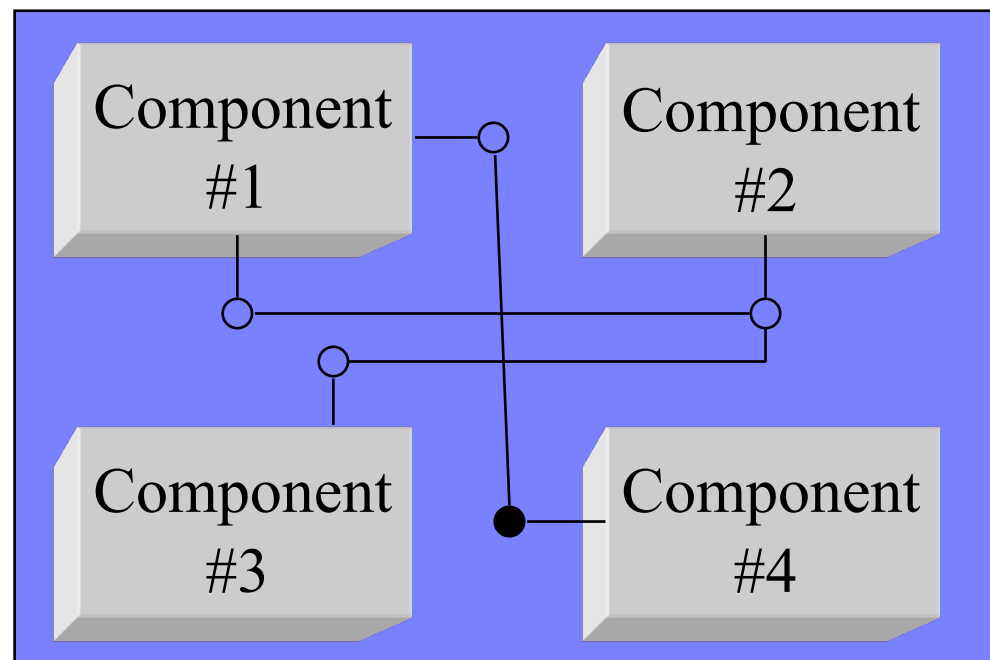
- Building *systems* from *components*
- Building components as reusable units
- Performing maintenance by replacement of components and introducing new components into the system

Component-based software construction (1)

components

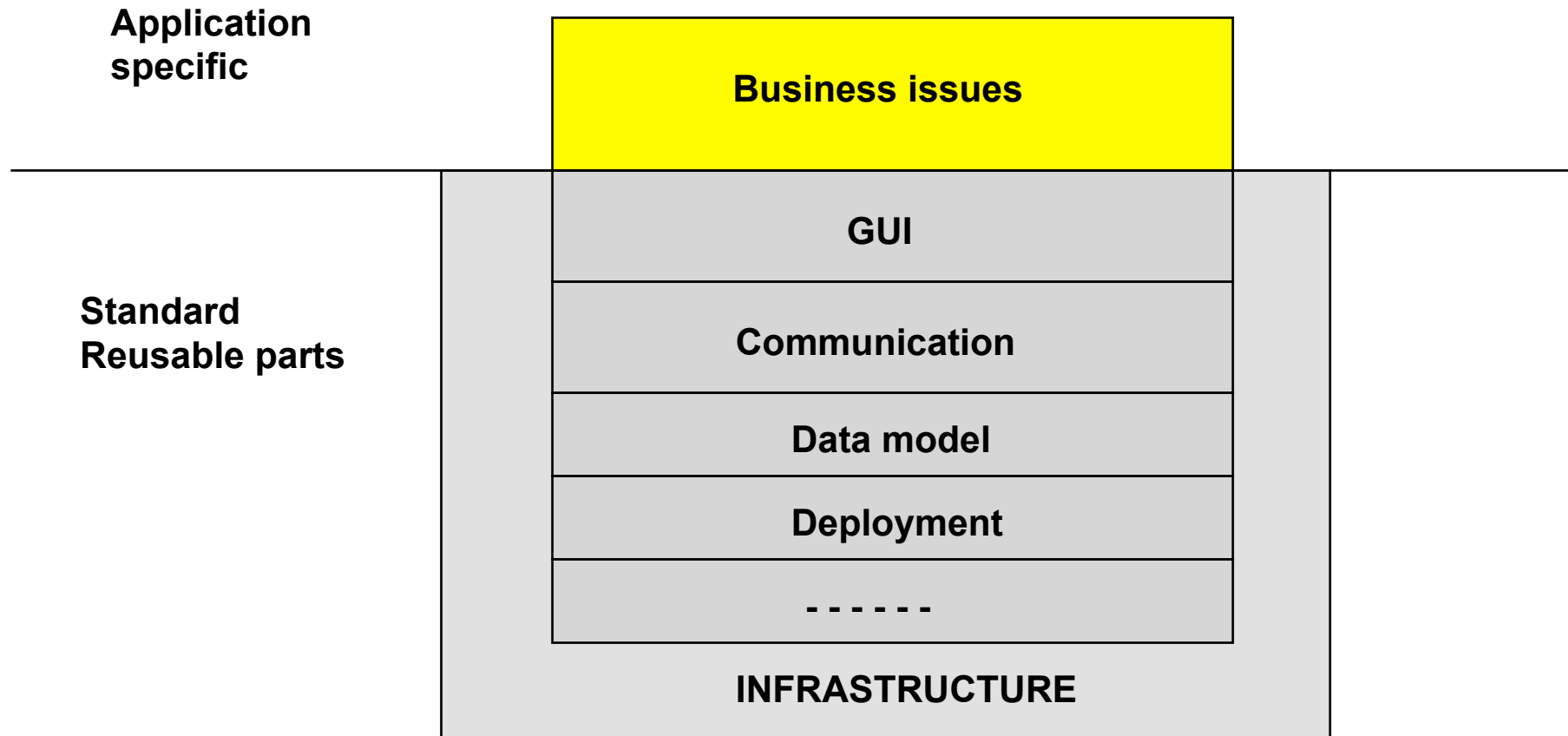


application



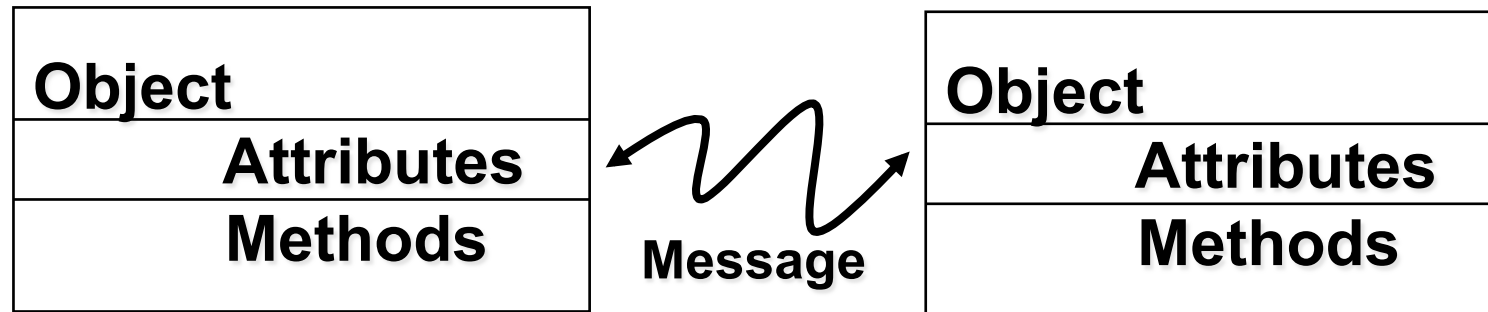
Concentration on the business parts

“30 % of SW development effort is spent on infrastructure that adds no value”



Is CBD the same as OOP?

- ❑ Object-oriented programming



Are objects the same as components?

Side remark: OO and reuse

Object orientation is **not primarily concerned with reuse**, but with **appropriate domain/problem representation**

using the technological enablers

- Objects, classes, inheritance, polymorphism

Experience has shown that the use of OO does not necessarily produce reusable SW

CBD

- scale reusable entities: Component = many objects in collaboration
- reusable parts on the execution level (plug-in)
- Additional services provided by component models

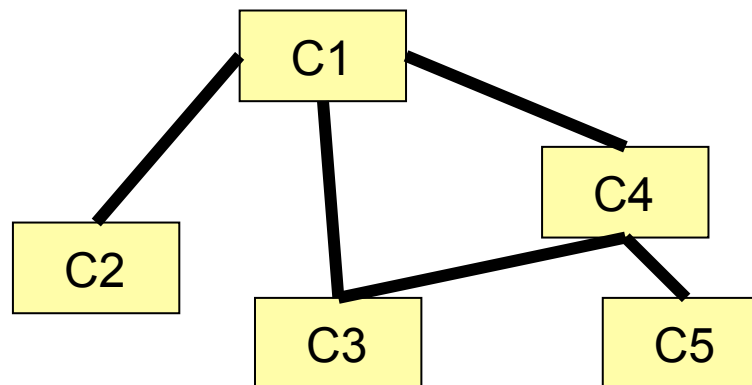
Part 2

What is a software component?

Architectural point of view

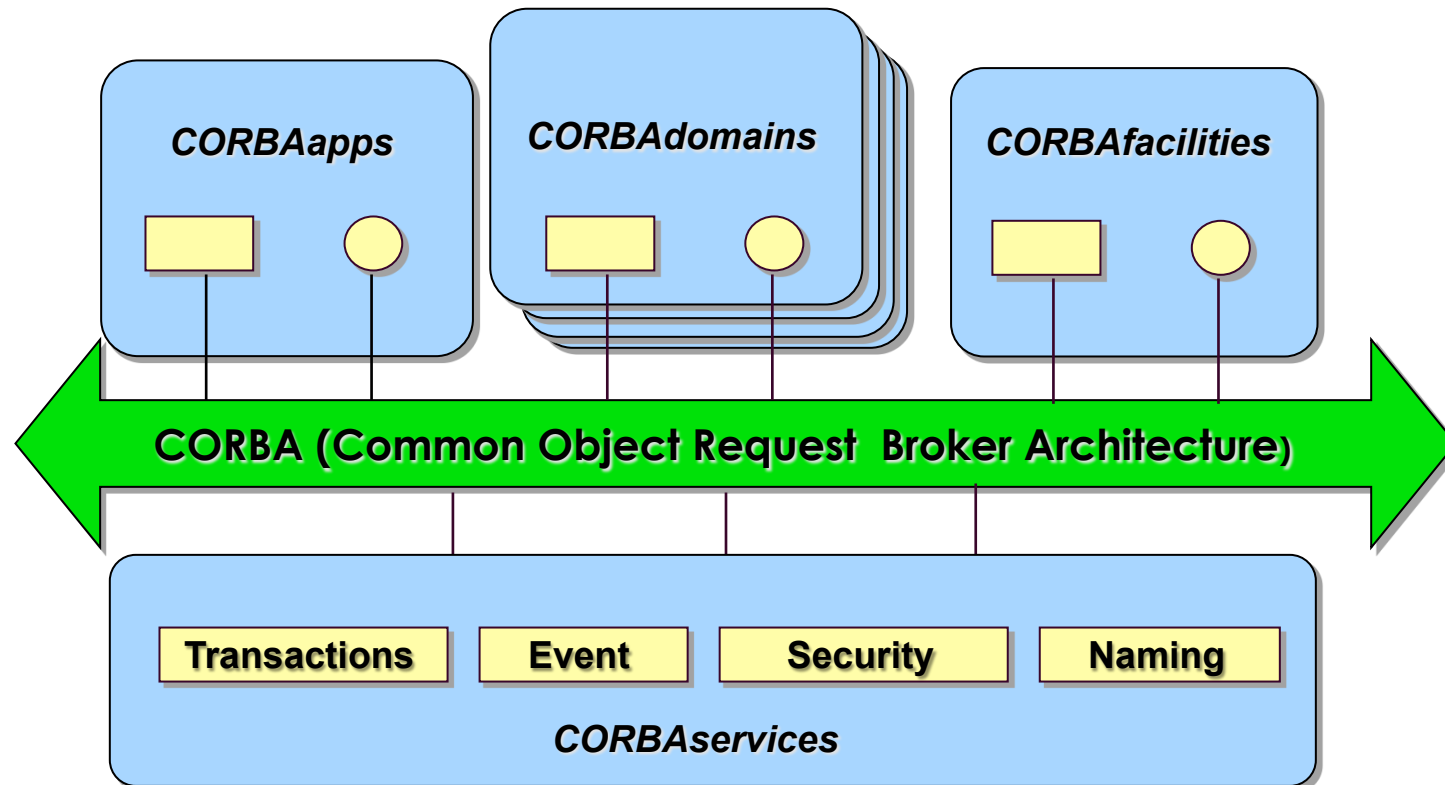
- The software architecture of a program or computing system is the structure or structures of the system, **which comprise software components** [and connectors], the **externally visible properties of those components** [and connectors] and the **relationships among them.**”

Bass L., Clements P., and Kazman R., *Software Architecture in Practice*,

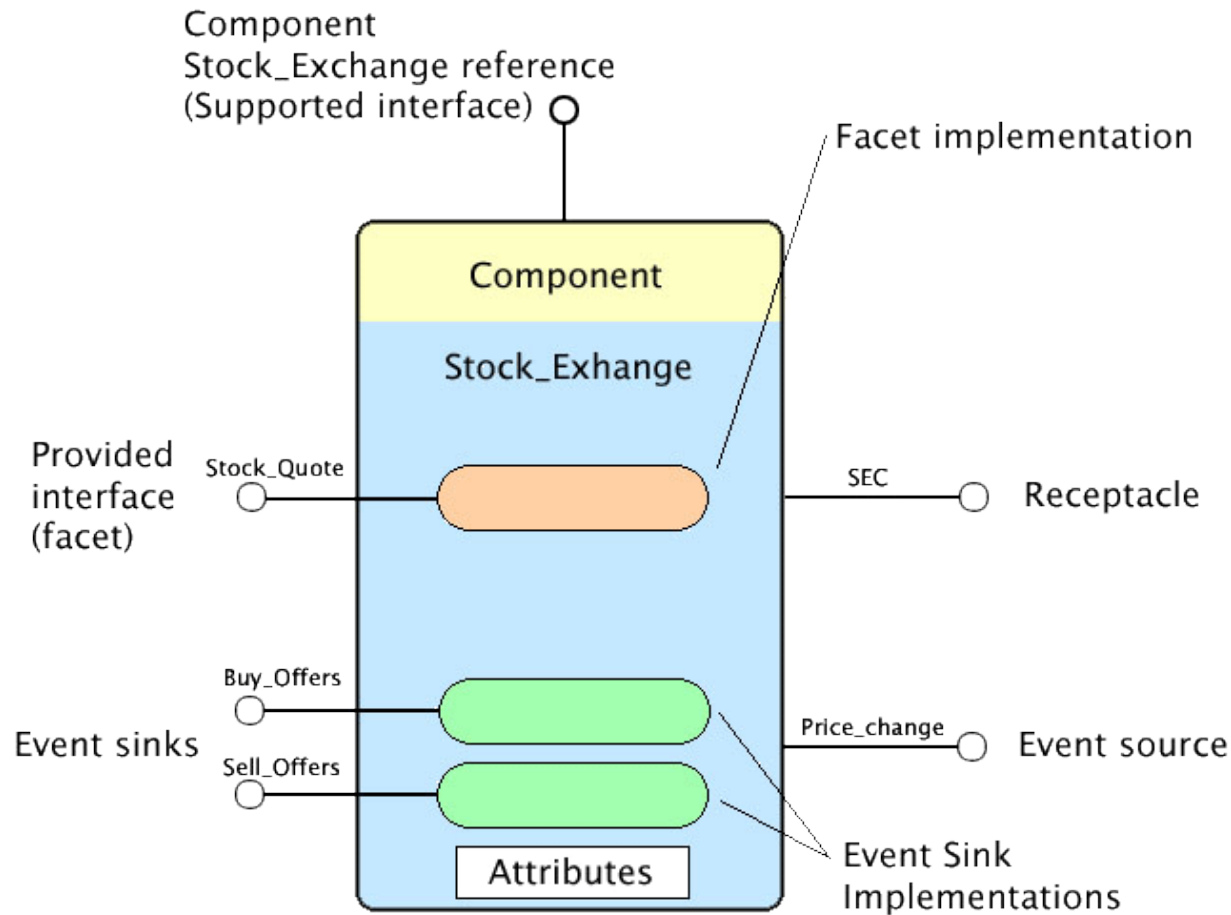


Another example

Object Management Architecture Overview



Corba component model



Some successful components: In the past...

❑ Mathematical libraries

- NAGLIB - Fortran Library
- Mathematical and physical functions

❑ Characteristics

- + Well defined theory behind the functions - very well standardized
- + Simple Interface - procedural type of communication between client (application) and server (component)
- + Well defined input and output
- + Relative good error handling
- × Difficult for adaptation (not flexible)

Some successful components: The big ones...

Client - server type

❑ Database

- Relational databases, (Object-oriented databases, hierarchical databases)
- Standard API - SQL
- ✗ Different dialects of the standard

❑ X-windows

- Standard API, callback type of communication
- + High level of adaptation
- ✗ Too general - difficult to use it

Even bigger components: Operating systems

□ Example - Unix

- A general purpose OS, used as a platform for dedicated purposes
- Standard API - POSIX
- + Commands uses as components in a shell-process

Example: sort out words from text files:

```
$ cat file1 file2 file3 ... | sed 's/ \n /g' | sort -u >words.txt
```

- ✗ Different variants, POSIX is not sufficient
- ✗ Not a real component behavior (difficult to replace or update)

□ MS Windows ...

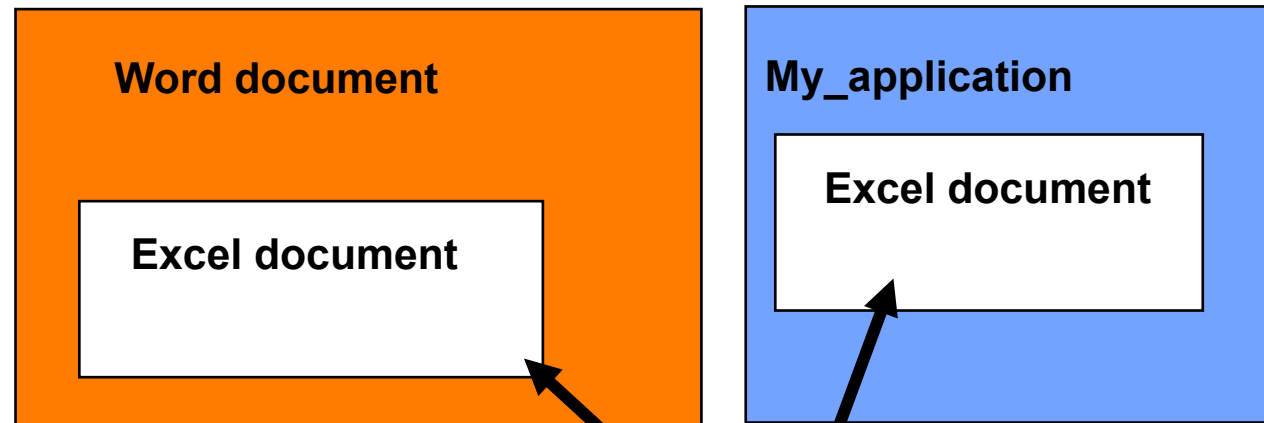
MRTC

MÄLARDALEN REAL-TIME
RESEARCH CENTRE



Frameworks - building “the real components”

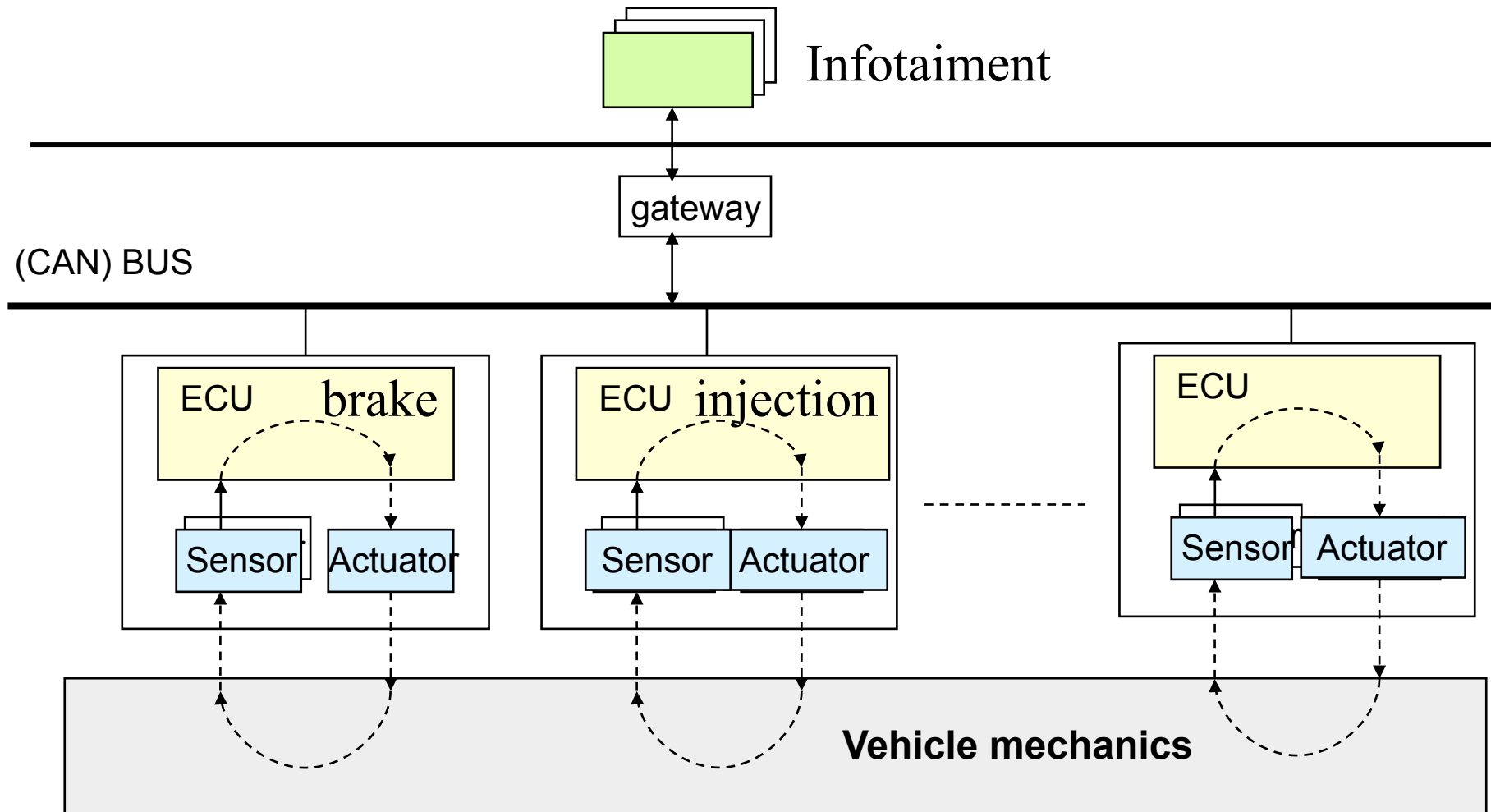
- ❑ Component Object Management - COM, Active X
- ❑ Enterprise JavaBeans
- ❑ CORBA components
- ❑ .NET



Late binding - easy replacement

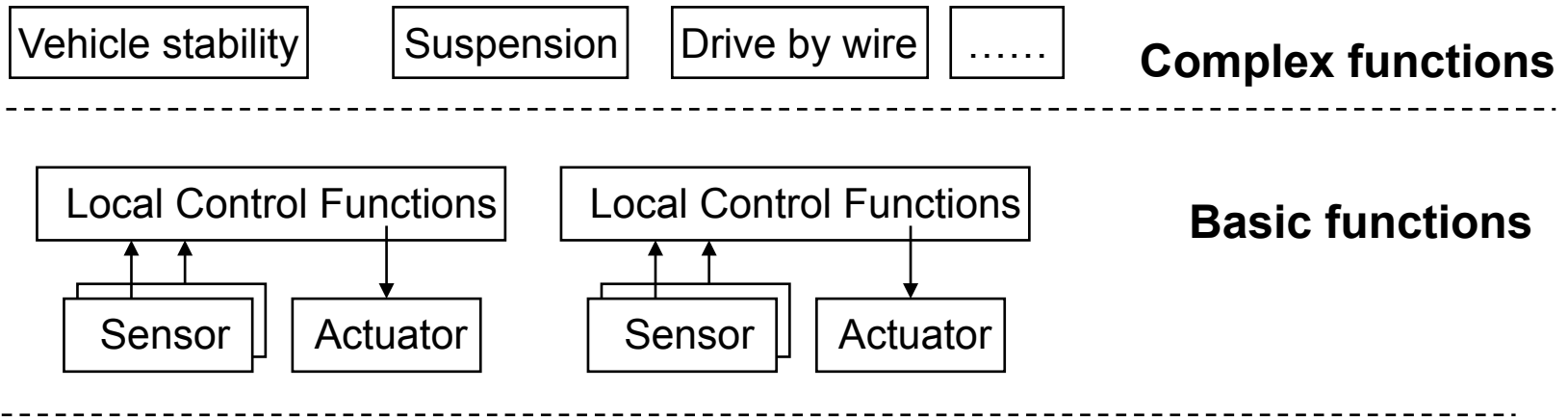
component

Example: The architecture of a car control system



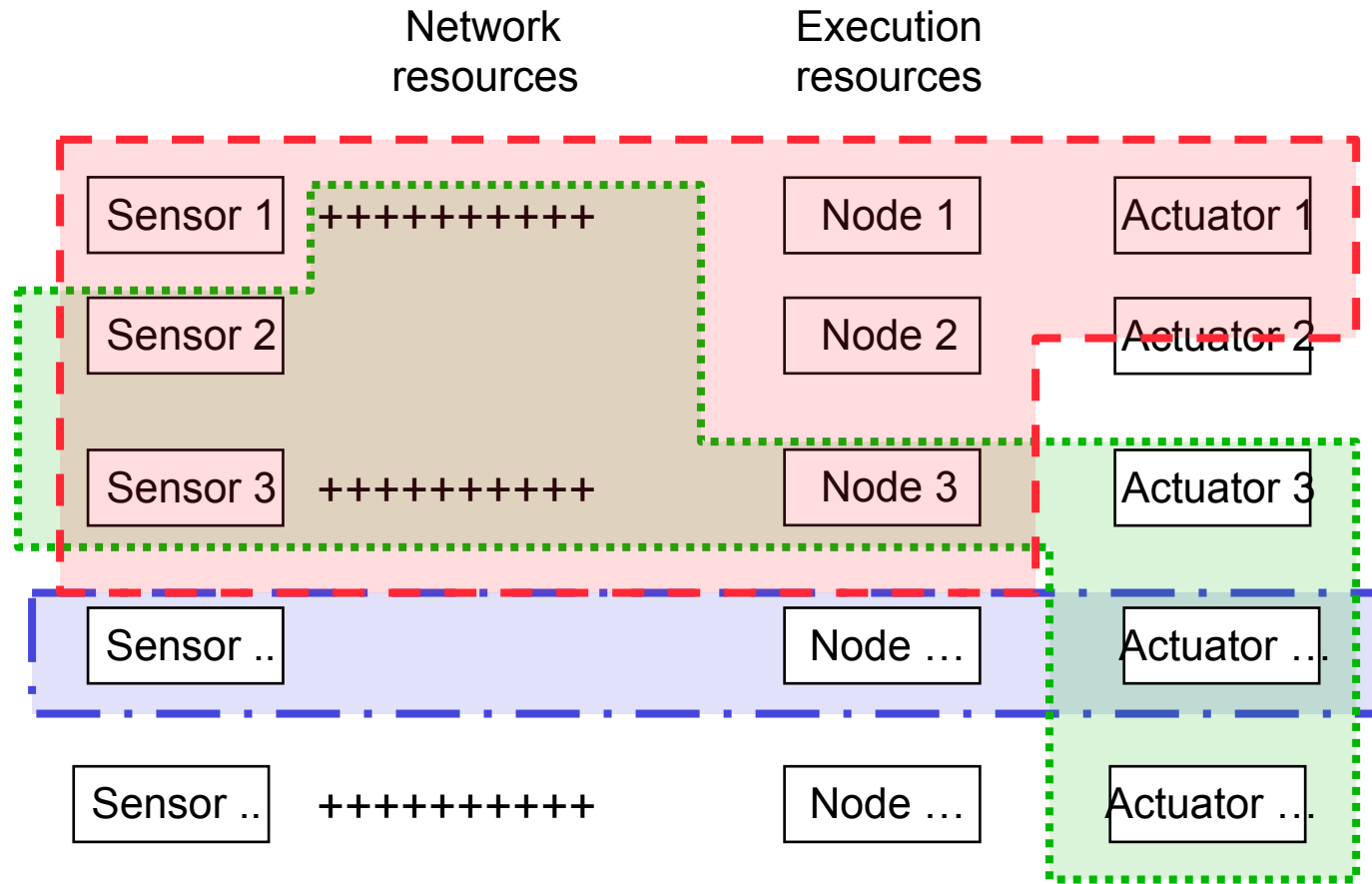
ECU – Electronic Control Unit

The architectural design challenge



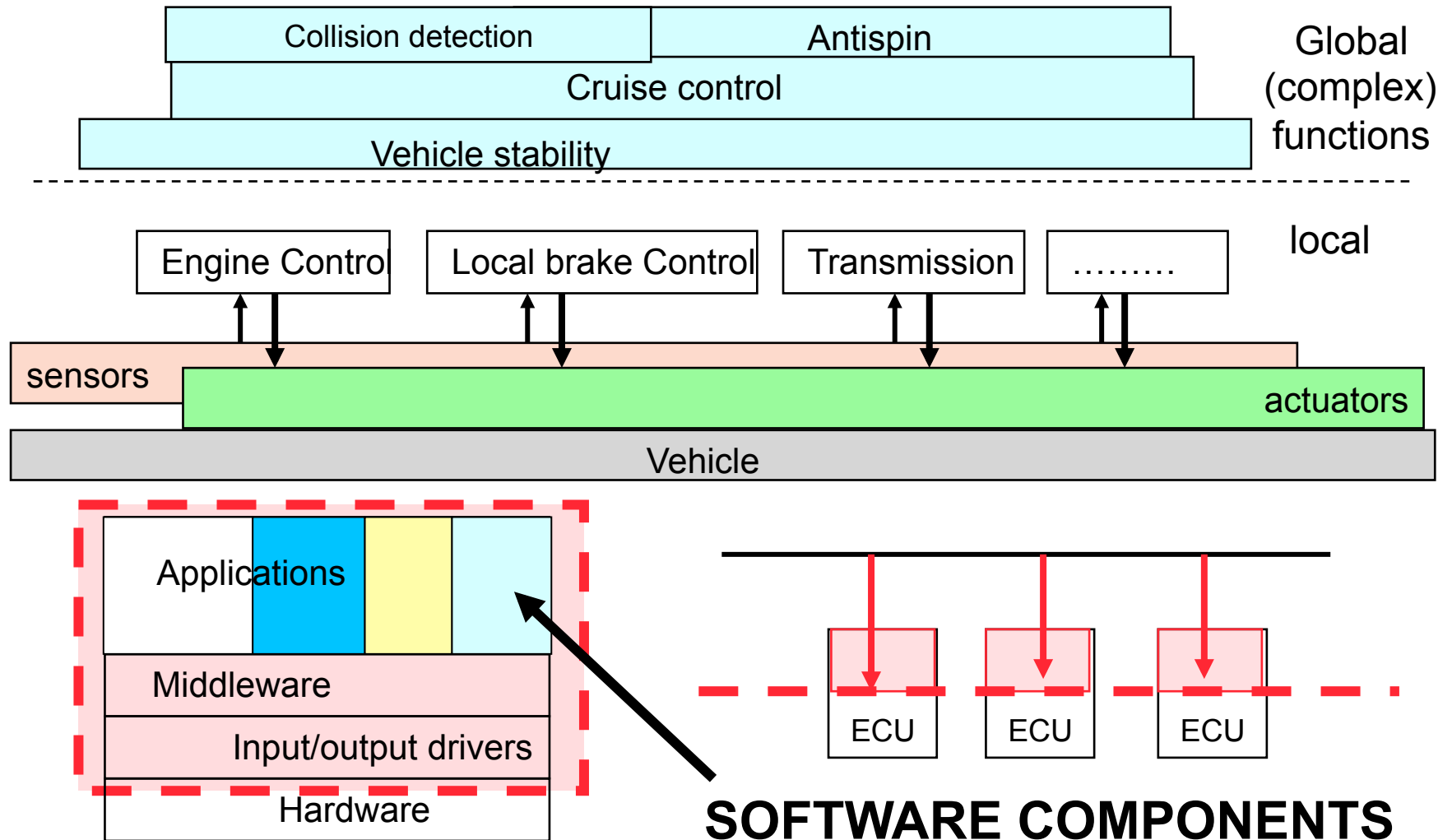
How to implement complex functions based on local control functions?

Problem: resource sharing

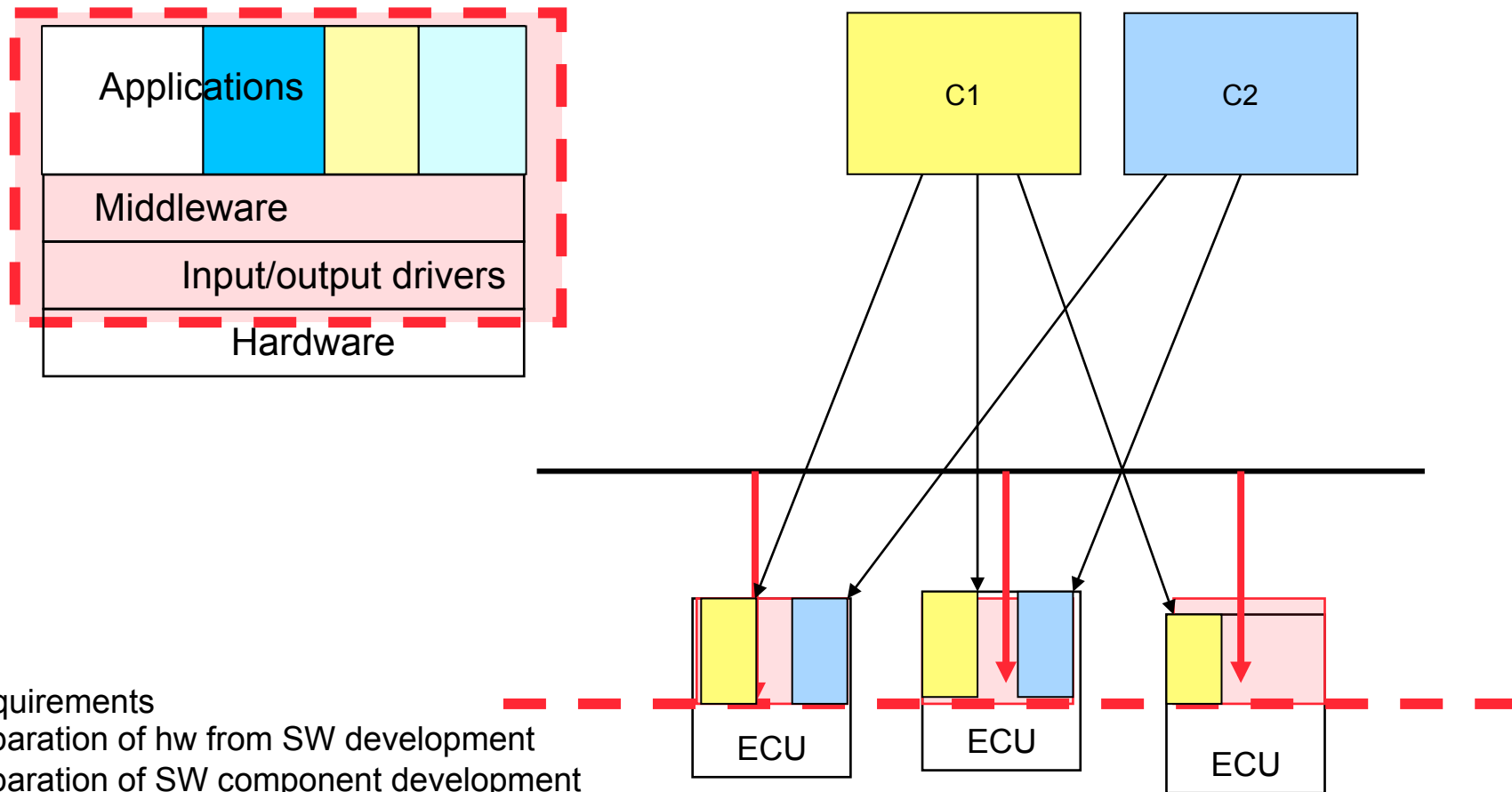


Can functions of different criticality be allowed to share resources?

Challenge – open and dependable platform



Challenge – open and dependable platform



Requirements
Separation of hw from SW development
Separation of SW component development

MRTC

MÄLARDALEN REAL-TIME
RESEARCH CENTRE



Szyperski: Software Component Definition

Szyperski (**Component Software beyond OO programming**)

❑ **A software component is**

- a unit of composition
- with contractually specified interfaces
- and explicit context dependencies only.

❑ **A software component**

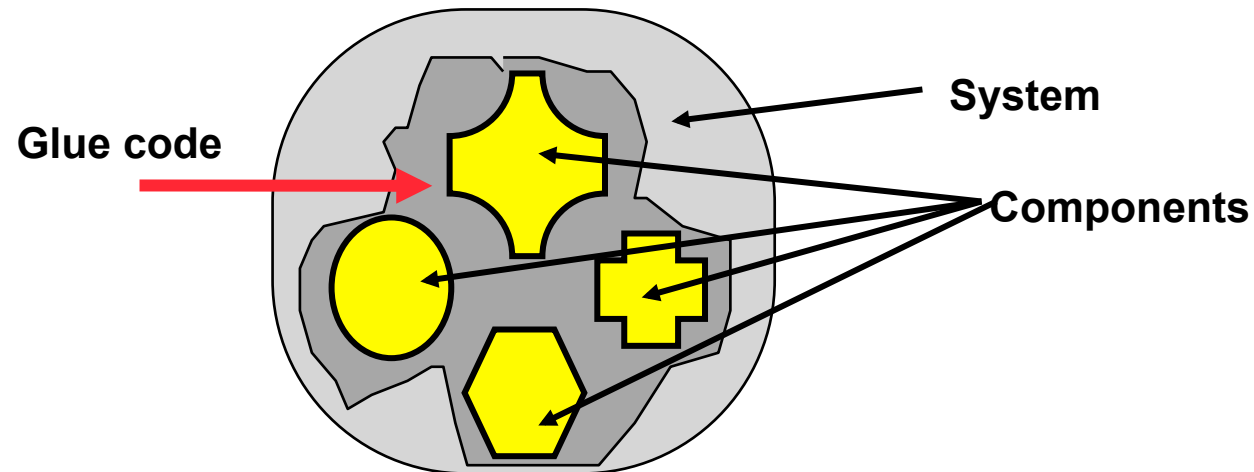
- can be deployed independently
- it is subject to composition by third party.



Will you meet him?

Composition unit

A software component is a **unit of composition** with contractually specified interfaces and explicit context dependencies only. A software component can be deployed independently and is subject to composition by third party. –Clemens Szyperski



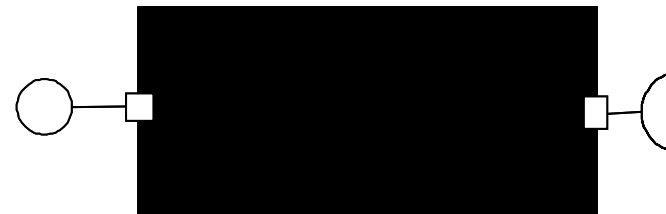
How much components fit together?

How much costs the glue code?

What is a contract?

A software component is a unit of composition with **contractually specified interfaces** and explicit context dependencies only. A software component can be deployed independently and is subject to composition by third party.

❑ Interface – component specification



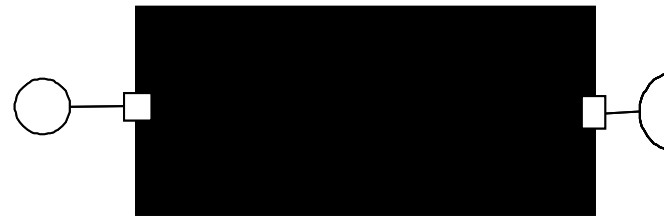
❑ Contract - A specification attached to an interface that mutually binds the clients and providers of the components.

- Functional Aspects (API)
- Pre- and post-conditions for the operations specified by API.
- Non functional aspects (different constrains, environment requirements, etc.)

What is an explicit context dependency?

A software component is a unit of composition with contractually specified interfaces and **explicit context dependencies only**. A software component can be deployed independently and is subject to composition by third party.

❑ Provided and Required Interface

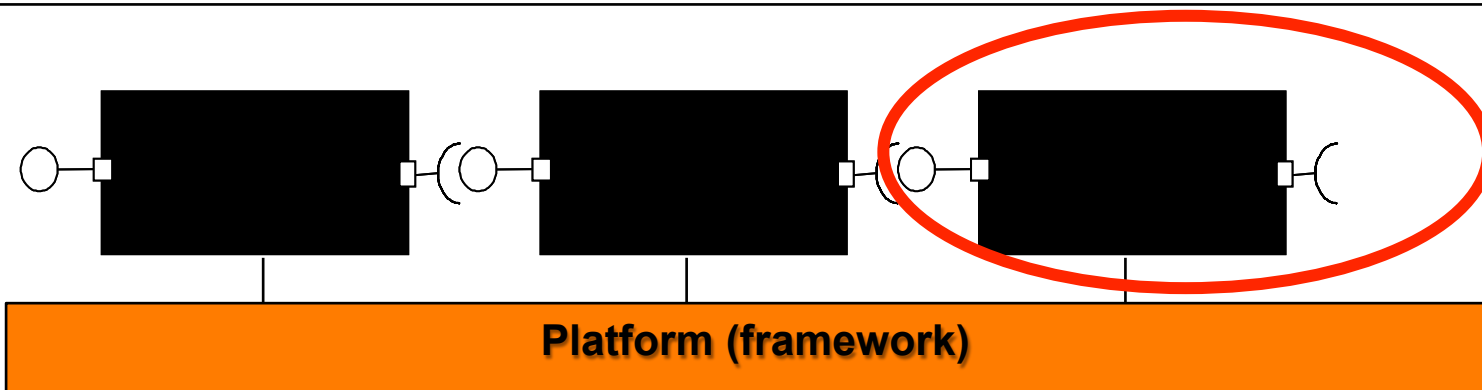


❑ Context dependencies - Specification of the deployment environment and run-time environment

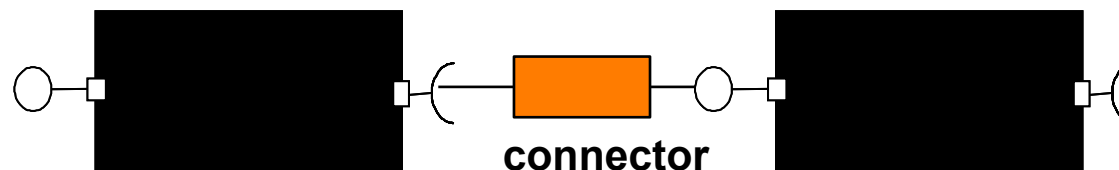
- Example: Which tools, platforms, resources or other components are required?

What does it mean deployed independently?

A software component is a unit of composition with contractually specified interfaces and explicit context dependencies only. A software component can be **deployed independently** and is subject to composition by third party.



- ❑ Late binding - dependencies are resolved at load or run-time.



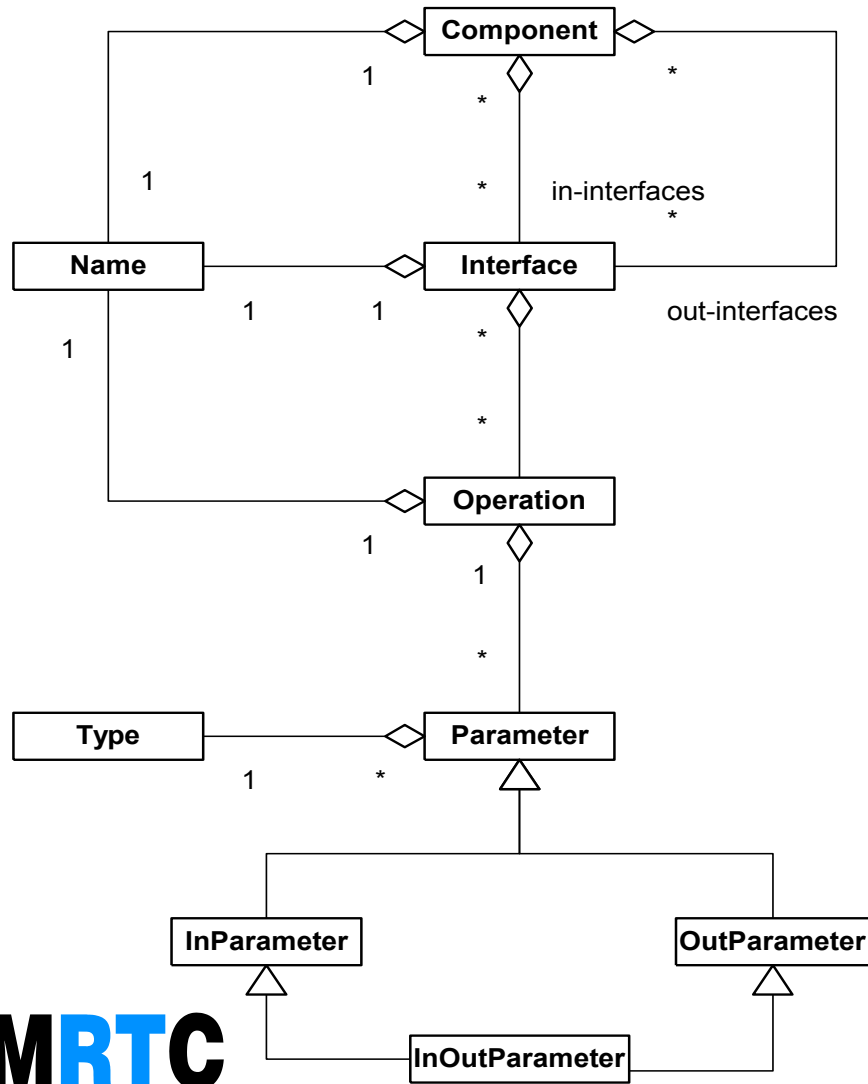
Example: Interface description: (M)IDL

(Microsoft) Interface Definition Language

```
[
    uuid(00112233-ABBA-ABBA-ABBA-BADBADBADBAD),
    object
]
interface IAddressList {
    HRESULT addAddress ([in] name, [in] address);
    HRESULT deleteAddress ([in] name, [in] address);
}
```

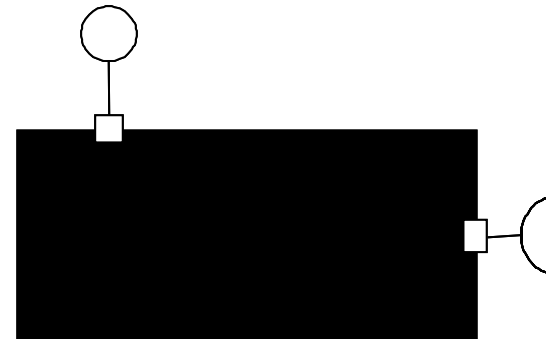
- language independent interface specification
- can be compiled into language dependent code skeletons

Components and Interfaces - UML definition

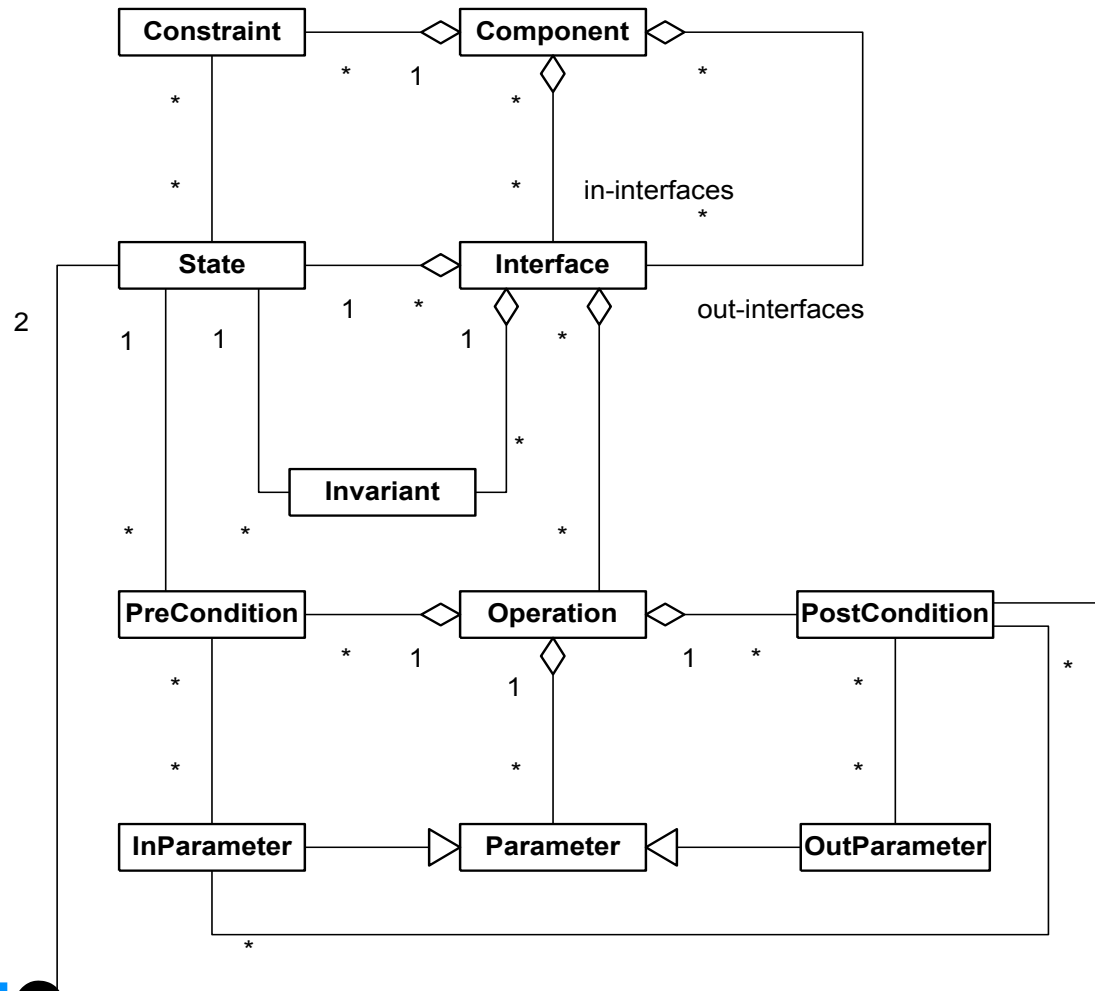


Component – a set of interfaces
 required (in-interfaces)
 provided (out-interfaces)

Interface – set of operations
 Operations – input and output parameters of
 certain type



Contractually specified interfaces in a UML metamodel

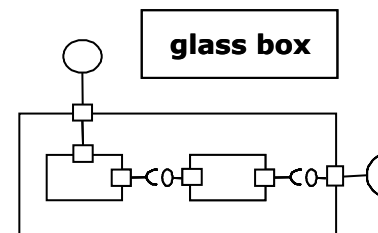
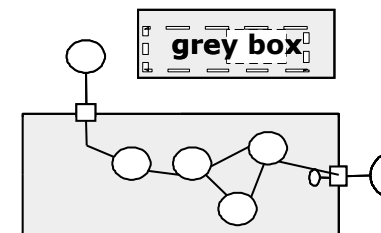
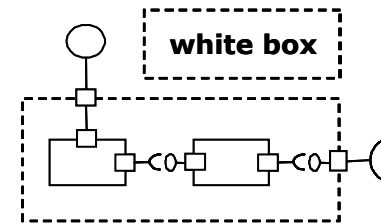
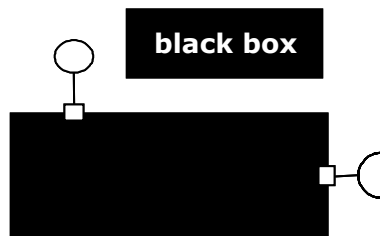


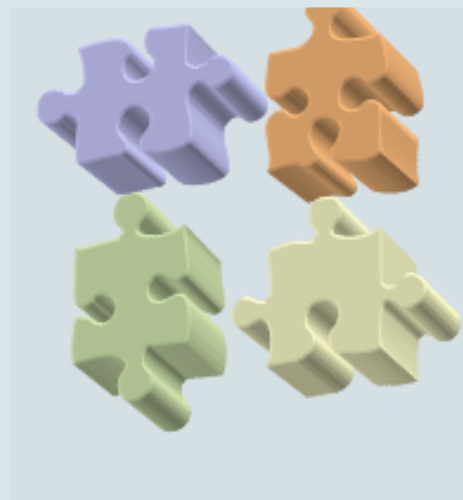


□ Is Szyperski definition enough?

Component specification

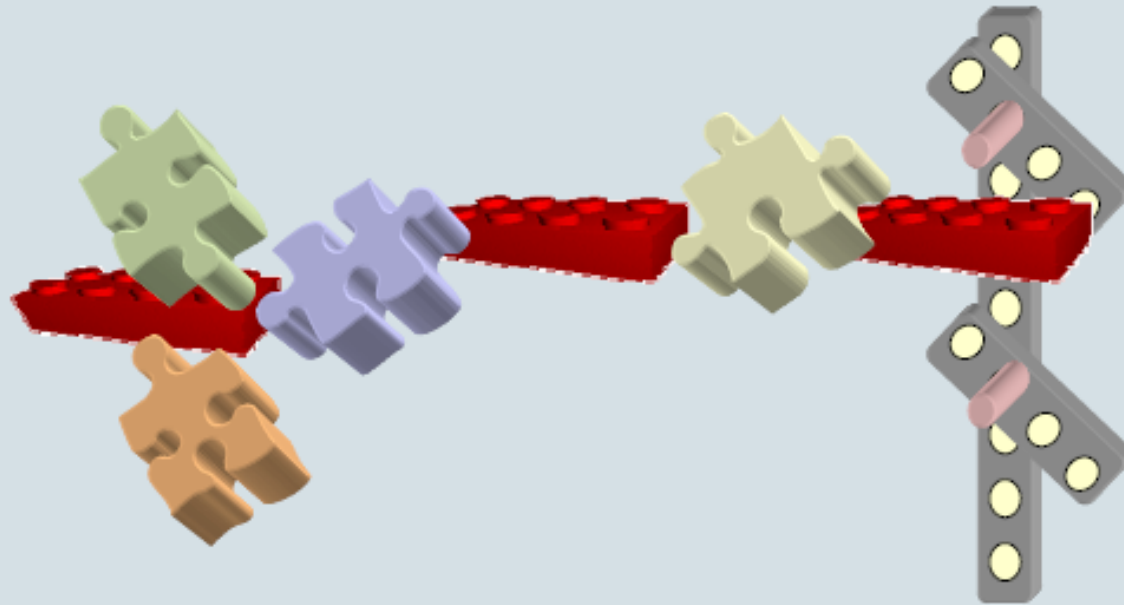
- ❑ Components are described by their interfaces
- ❑ (A black box character)





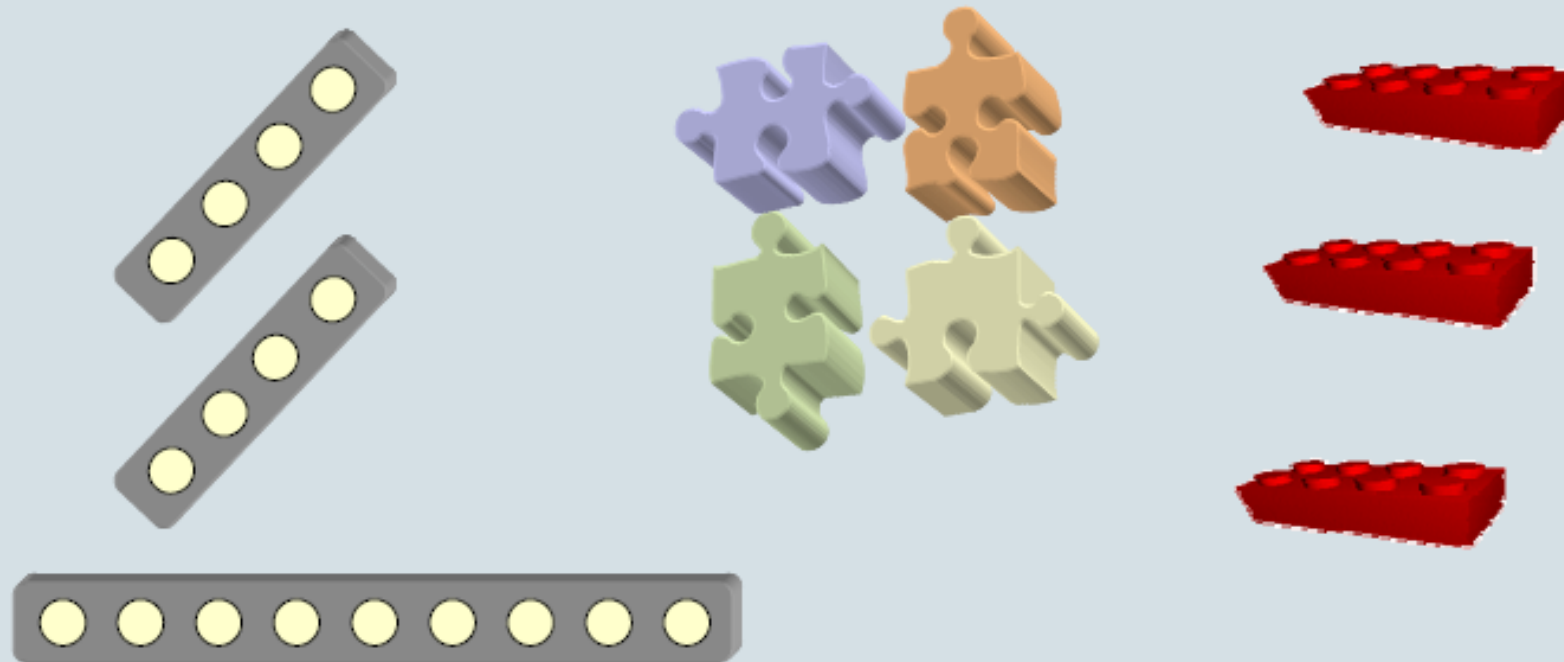
Nice components that can be composed (put together)

CBD in Practice



Lego + Fisher Technik + Meccanno + Ministek + ...

A component can be used within the scope of a component-model



Another definition

- ❑ **A software component is a software element that**
 - confirms a component model
 - can be independently deployed
 - composed without modification according to a composition standard.

- ❑ **A component model defines specific interaction and composition standards.**

G. Heineman, W. Council, Component-based software engineering, putting the peaces together, Addison Wesley, 2001

Variety of Component Models

- Different application(domain)s have different demands on component-based systems.
- Different extra-functional properties
 - Reliability, Resource use, Performance
 - Scalability, Adaptability, Extensibility, backward-compatible

Corba Components:

distributed, language independent, supports OO

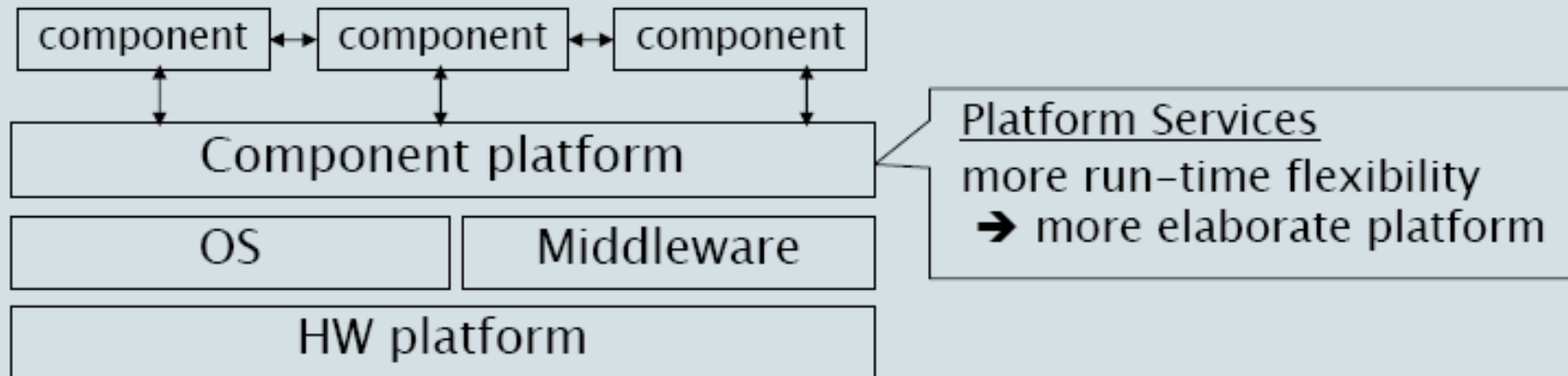
Enterprise Java Beans:

distributed, Java-oriented, transaction-facilities

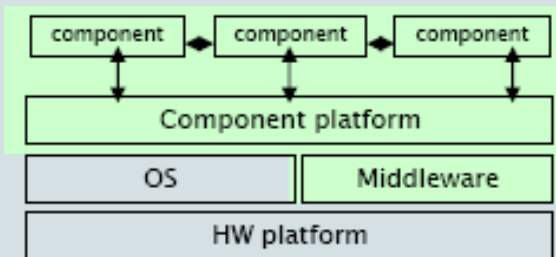
Robocop:

single machine, C/C++, low resource use

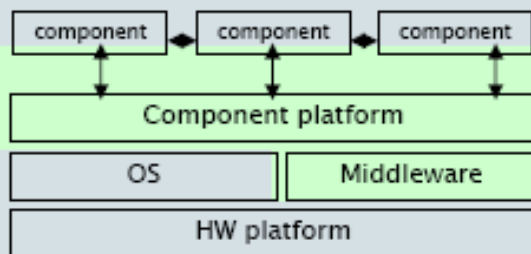
Architecture of Component Models



Platform Services
 more run-time flexibility
 → more elaborate platform



J2EE:
 rich services in platform



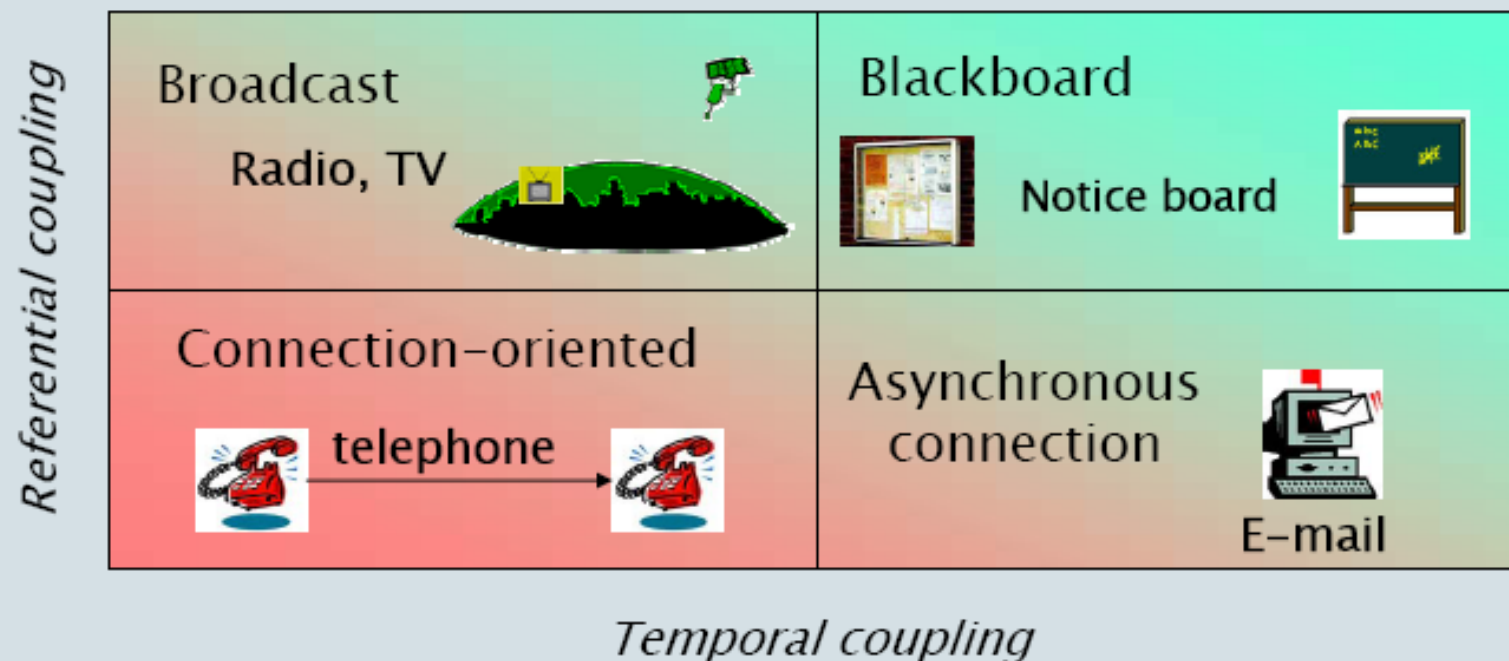
CORBA:
 emphasis on middleware;
 integration of legacy

Design Choices in Component Models

- control flow
- concurrency model
- distribution
- interaction style
 - data exchange format
- mobility
- topology
- binding time
- binding type
- platform features
- life-cycle management:
 - instantiation,
 - (de)activation,
 - removal

Interaction Style and Coupling

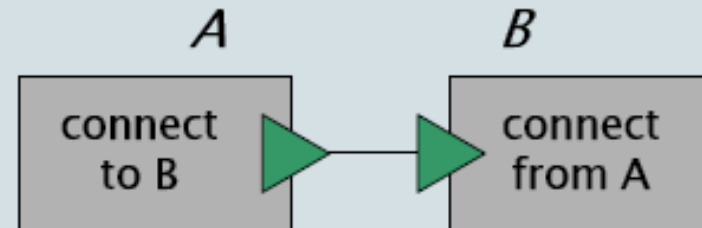
Component Models often use one particular interaction style.
Interaction styles imply some type of coupling!



Referential coupling: sender has reference to receiver's name
Temporal coupling: sender and receiver synchronize in time

Endogenous versus Exogenous composition

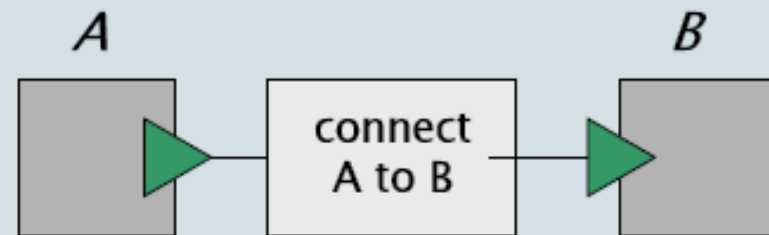
Endogenous composition:
composition is built into the items that are composed



e.g. method calls in OO languages

Exogenous composition:
composition is defined outside of the items that are composed.

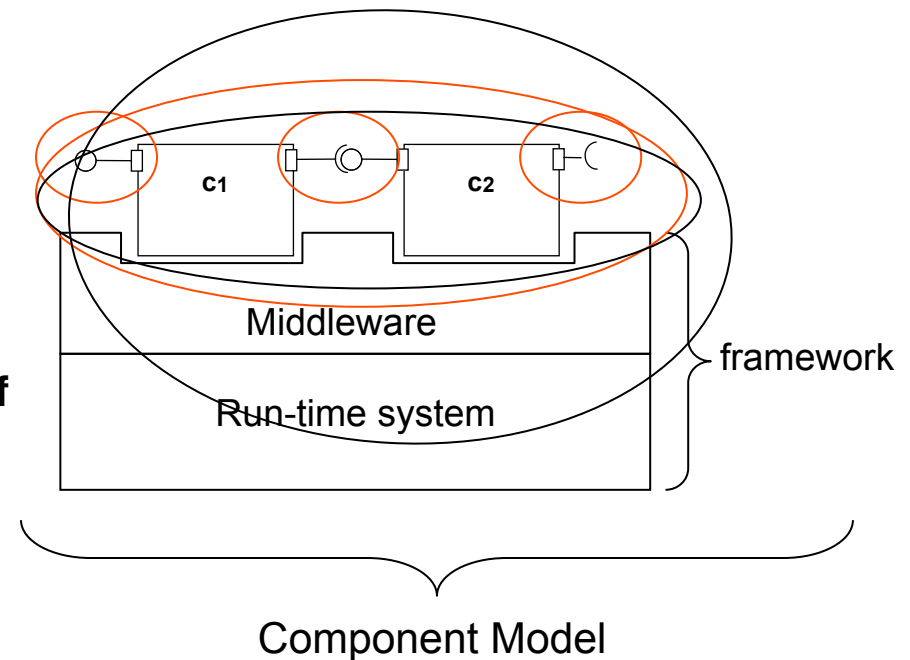
- localises changes in binding
- reduces dependencies between application components



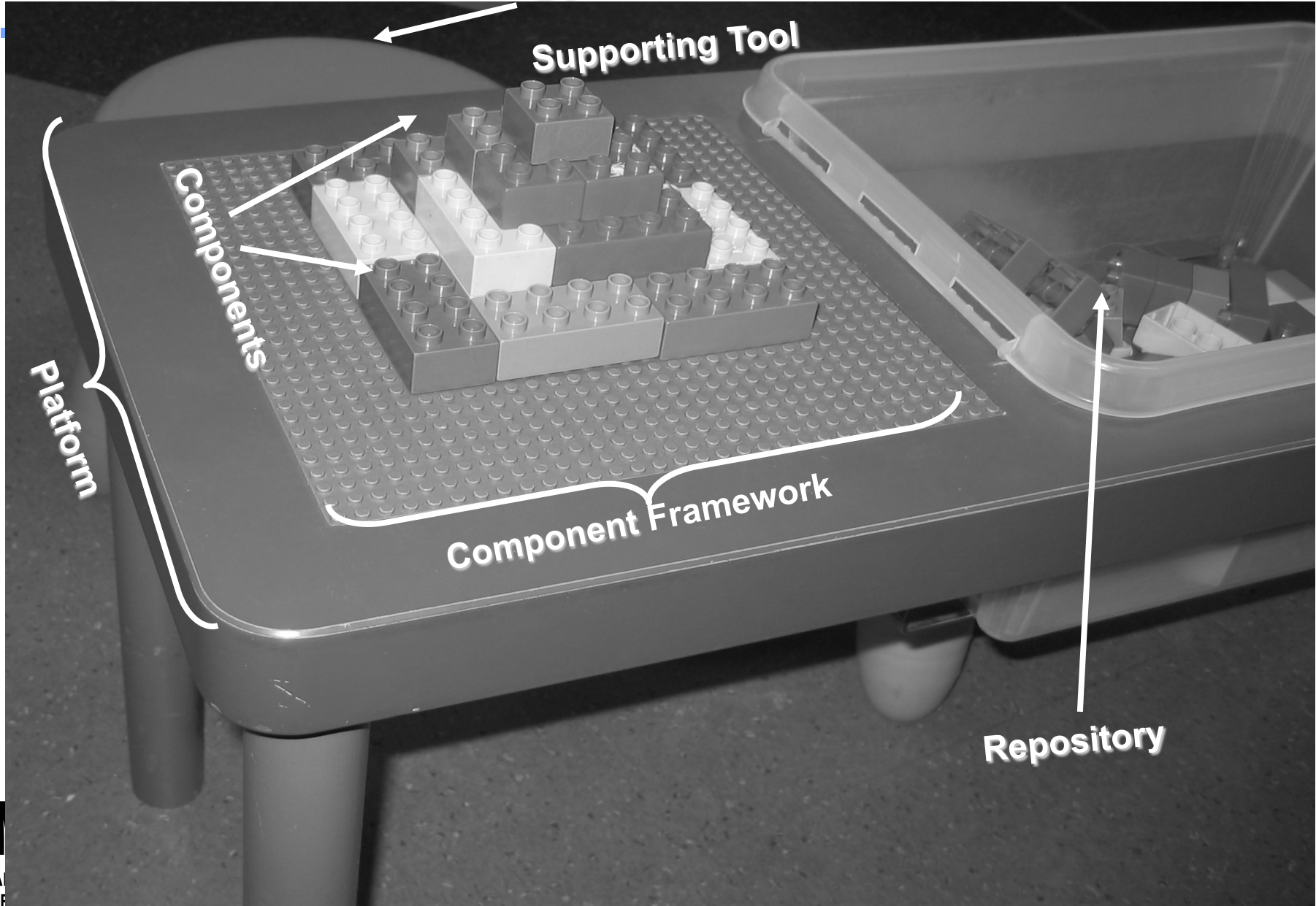
Benefits: consider changes in A or B or in the connections.

Summary CBSE – basic definitions

- ❑ **The basis is the Component**
- ❑ **Components can be assembled according to the rules specified by the component model**
- ❑ **Components are assembled through their interfaces**
- ❑ **A Component Composition is the process of assembling components to form an assembly, a larger component or an application**
- ❑ **Component are performing in the context of a component framework**
- ❑ **All parts conform to the component model**
- ❑ **A component technology is a concrete implementation of a component model**



Component Technology



Part 3

Software Architecture and Software Components

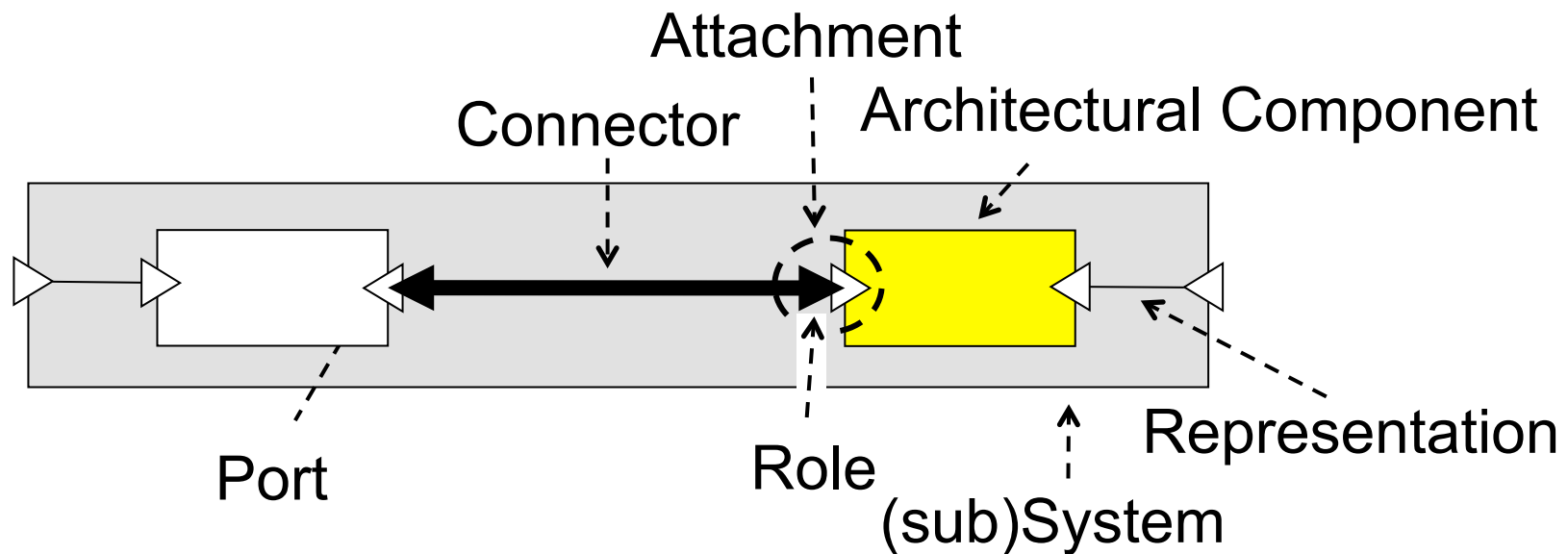
Software Architecture

L. Bass, P. Clements, R. Kazman, *Software Architecture In Practice*

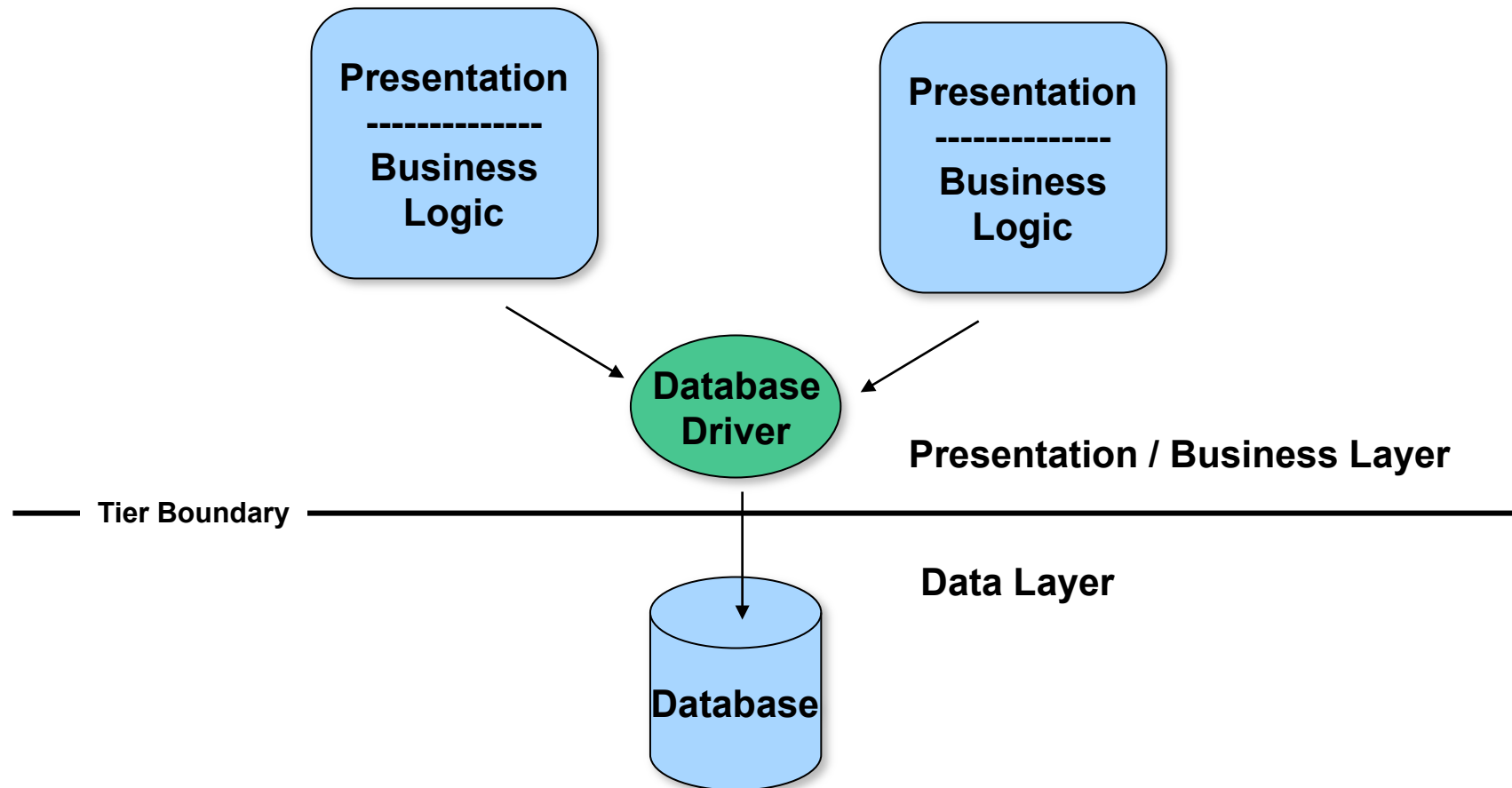
□ ***The software architecture of a program or computing system is the structure or structures of the system, which comprise software components [and connectors], the externally visible properties of those components [and connectors] and the relationships among them.”***

Aspects of Software Architecture

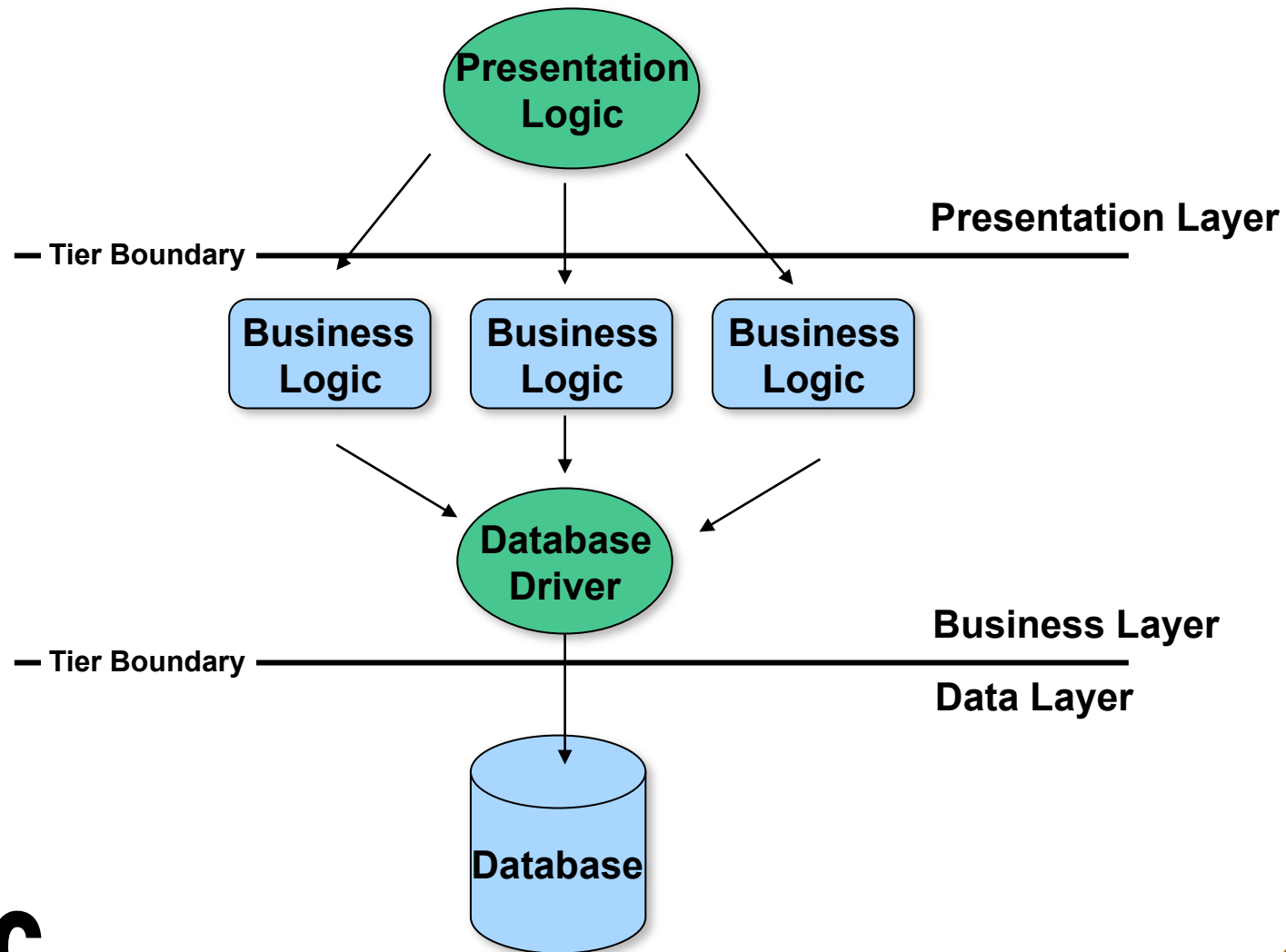
- ◆ Elements and Form
- ◆ (UniCon notation)



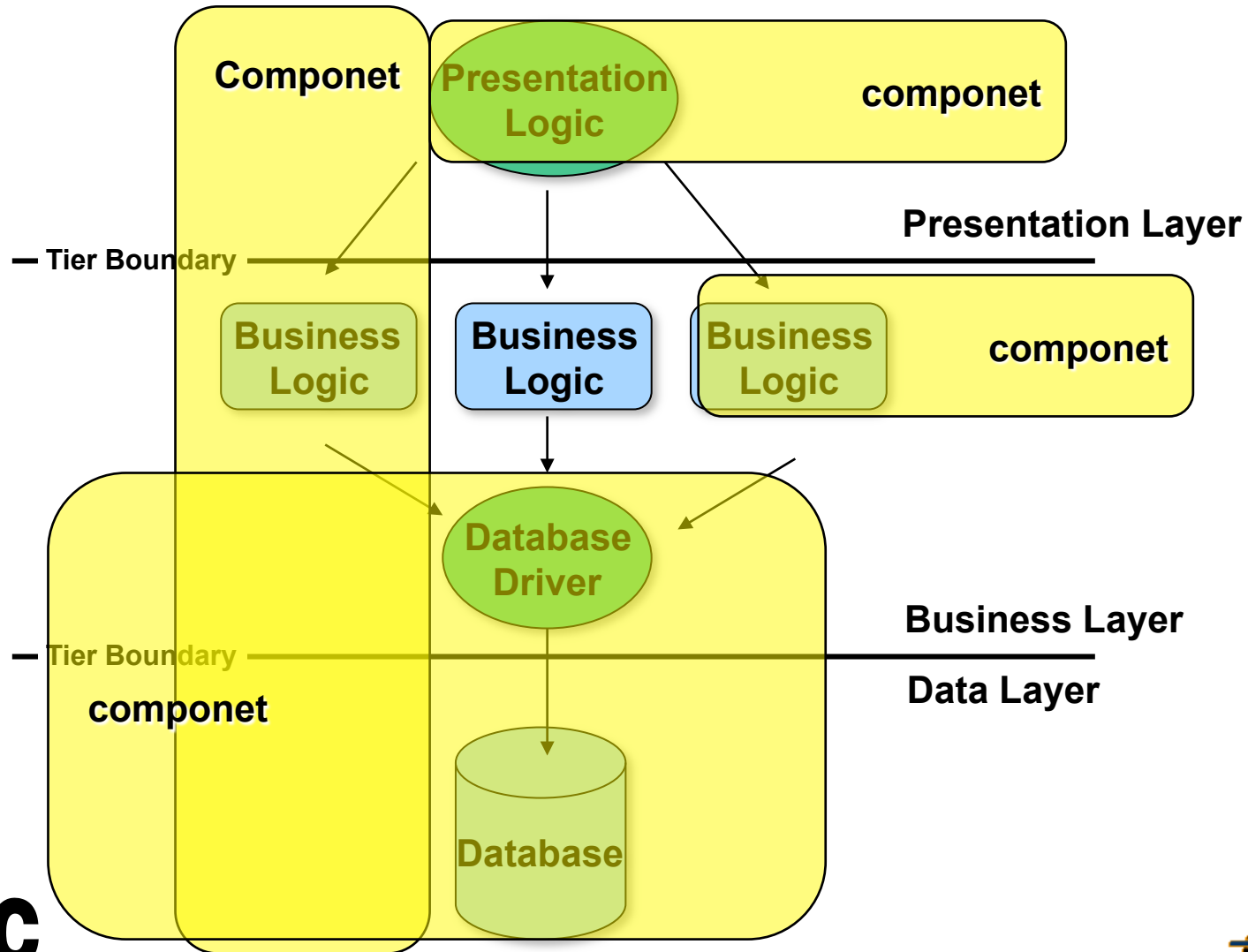
Two Tier Architecture



N-Tier Architecture



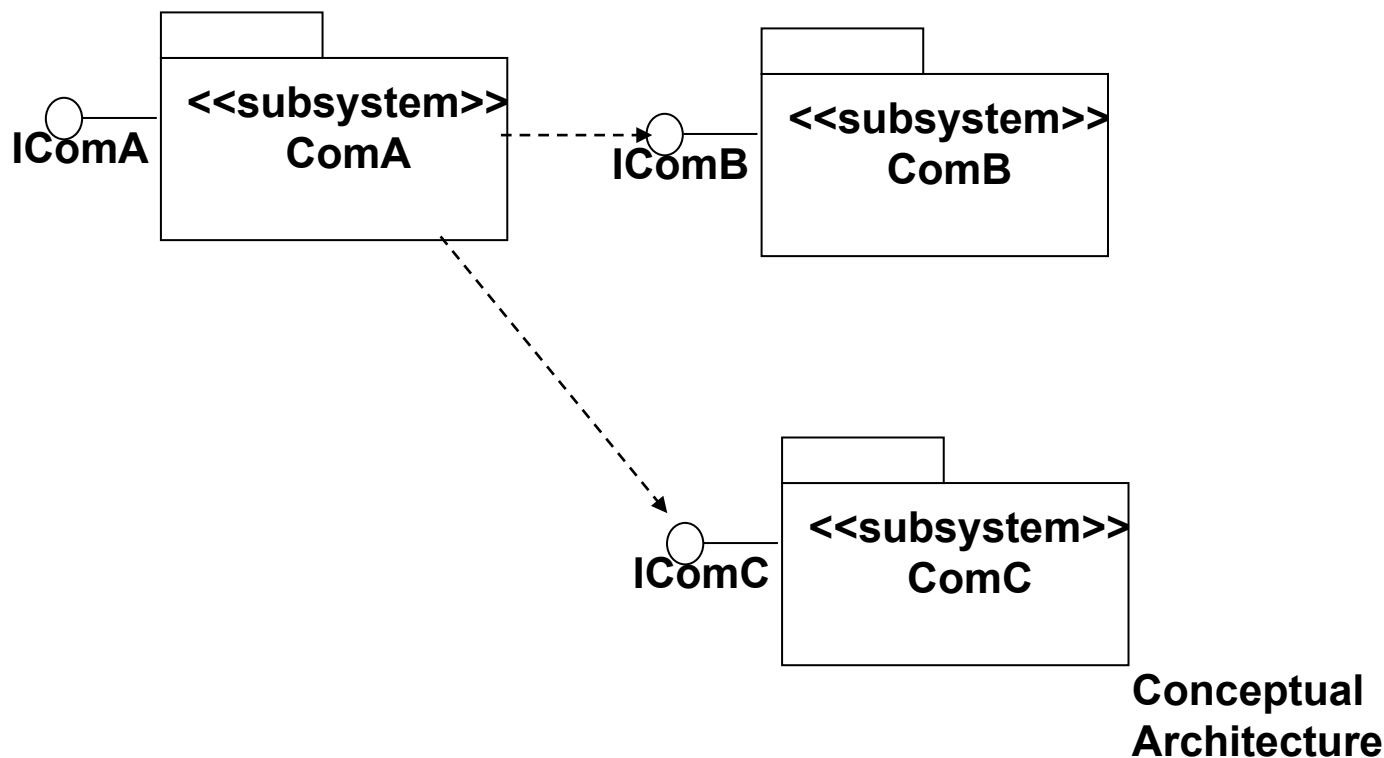
N-Tier Architecture - and Components



Different architecture view in different phases

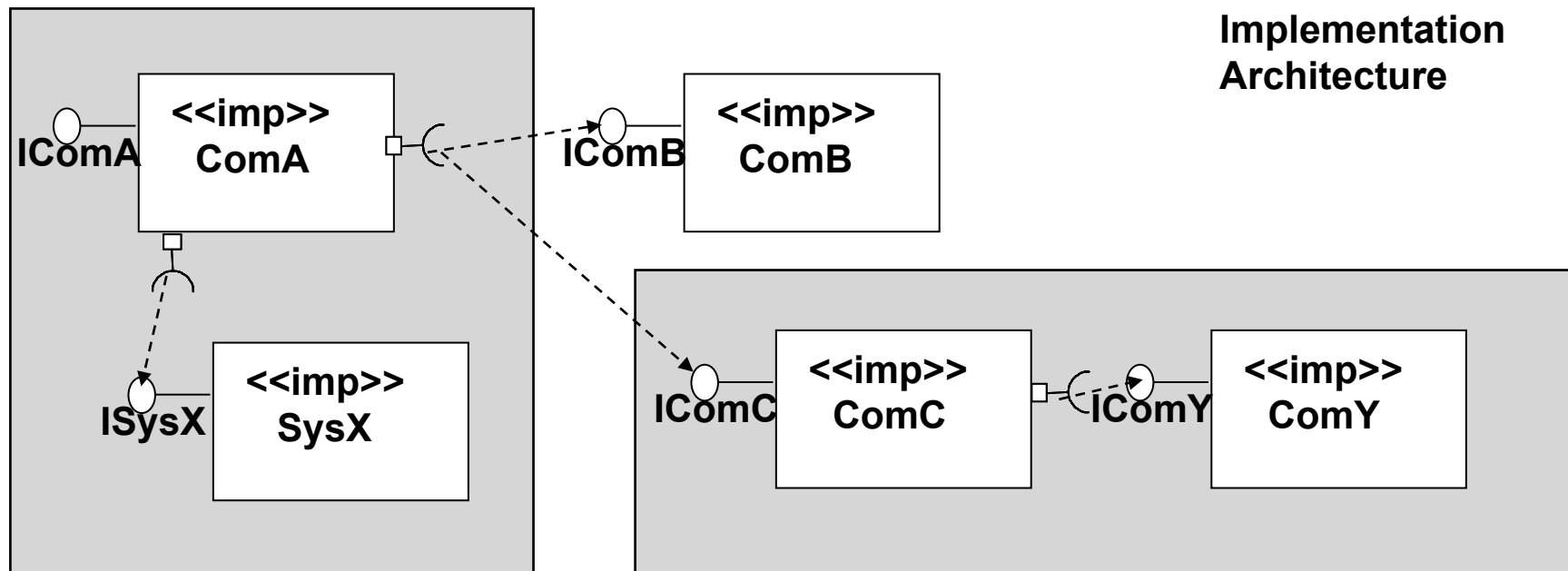
□ Phase I

- System architecture - Decomposition of the system



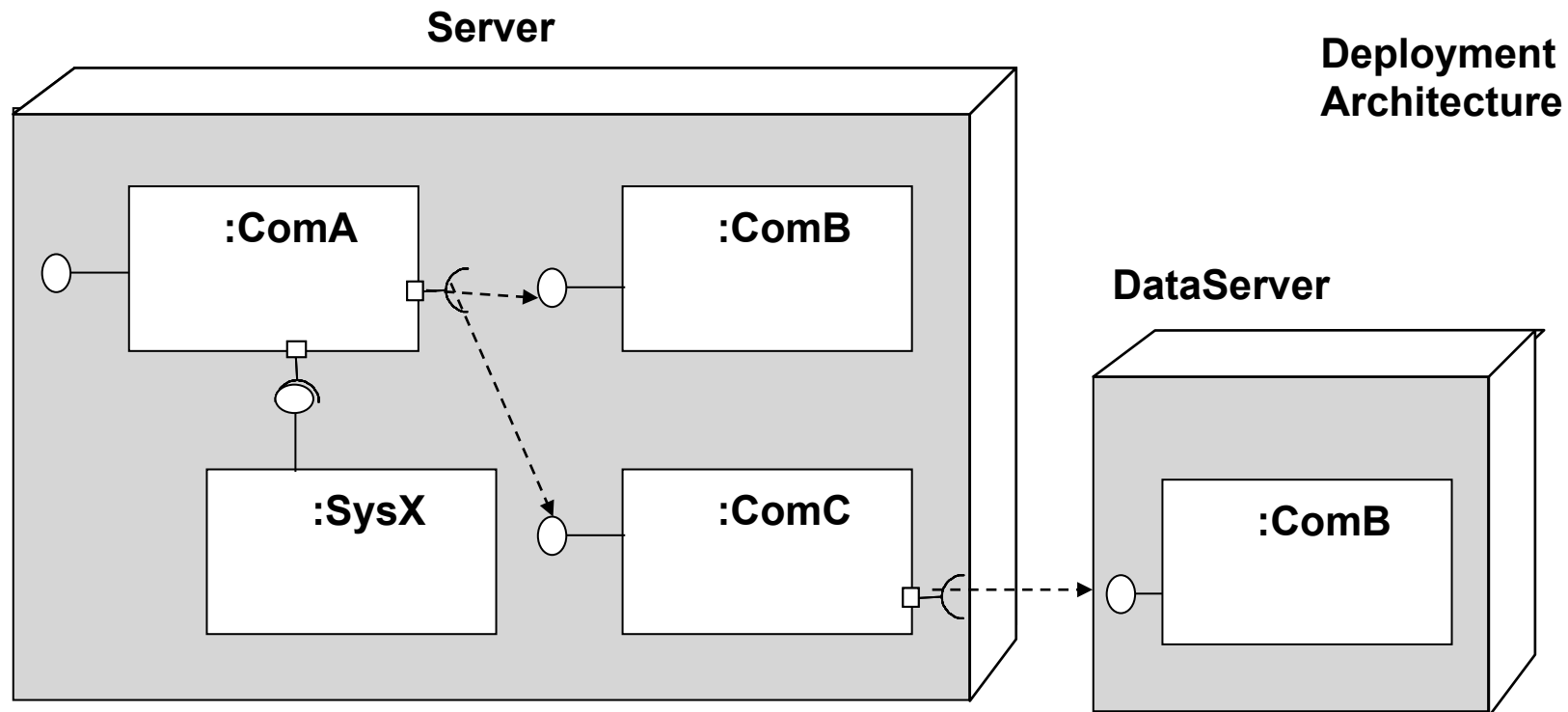
System Design – Phase 2

Implementation Architecture - Component Identification



System Design – Phase 3

Deployment architecture

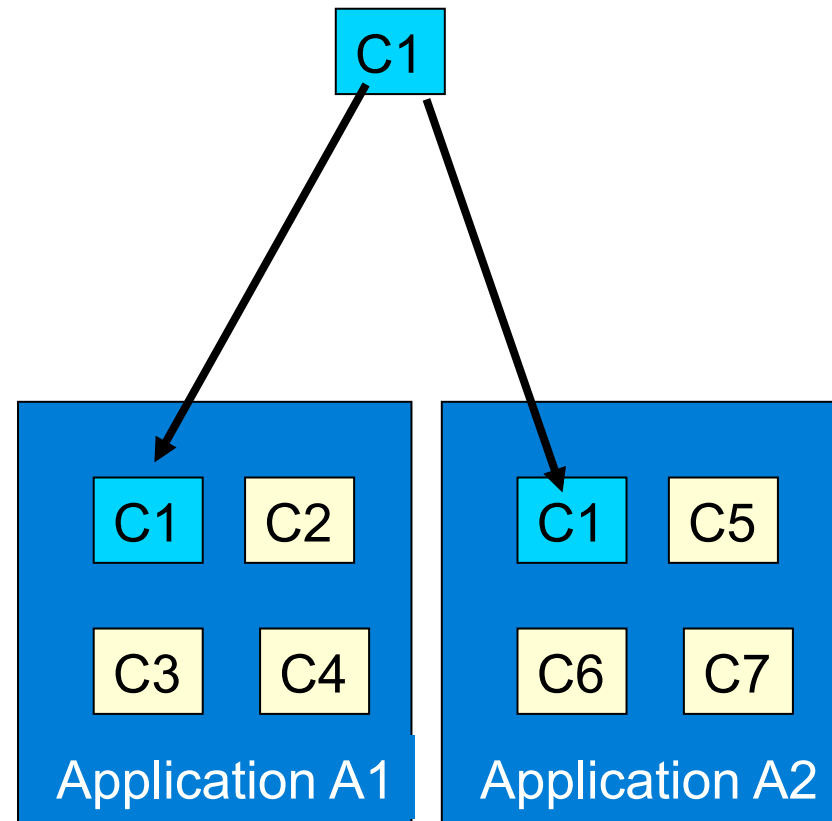


Basic principles of Component-based approach

Main principles: (1) Reusability

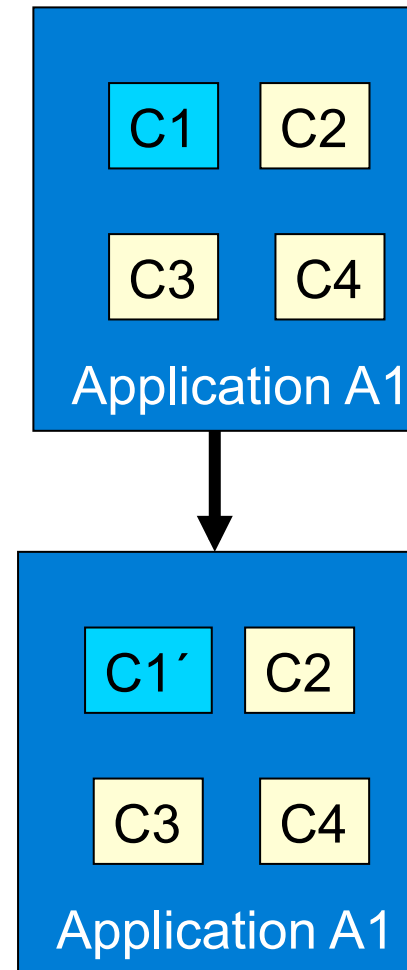
- ❑ Reusing components in different systems

- ❑ The desire to reuse a component poses few technical constraints.
 - ◆ Good documentation (component specification...)
 - ◆ a well-organized reuse process
 - ◆ Similar architecture
 - ◆



Main principles: (2) Substitutability

- ❑ Alternative implementations of a component may be used.
- ❑ The system should meet its requirements irrespective of which component is used.
- ❑ Substitution principles
 - Function level
 - Non-functional level
- ❑ Added technical challenges
 - Design-time: precise definition of interfaces & specification
 - Run-time: replacement mechanism



Substitution principle

- ❑ **Substituting a component Y for a component X is said to be safe if:**
 - All systems that work with X will also work with Y
- ❑ **From a syntax viewpoint, a component can safely be replaced if:**
 - The new component implements at least the same interfaces as the older components
- ❑ **From semantic point of view?**
 - Contractual interface holds (pre-, postconditions and invariants)

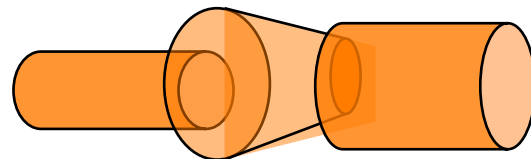
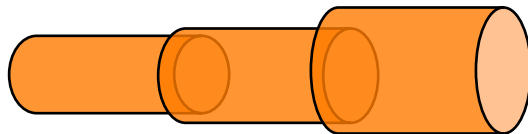
Substitution principle

□ Principle:

- A component can be replaced if the new component

- ◆ Provide a sub-range of the output
- ◆ Can accept larger range of input

$C \rightarrow C'$
 $\text{Input}(c) \leq \text{Input}(c')$
 $\text{Output}(C) \geq \text{Output}(C')$

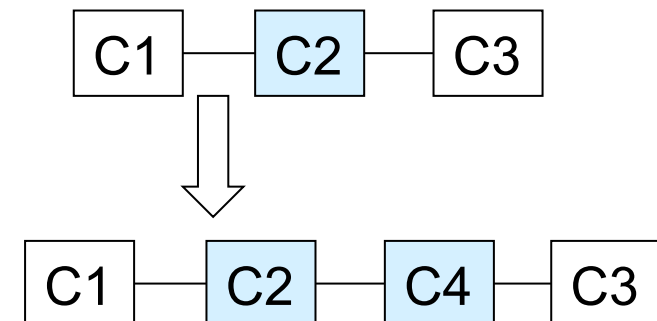
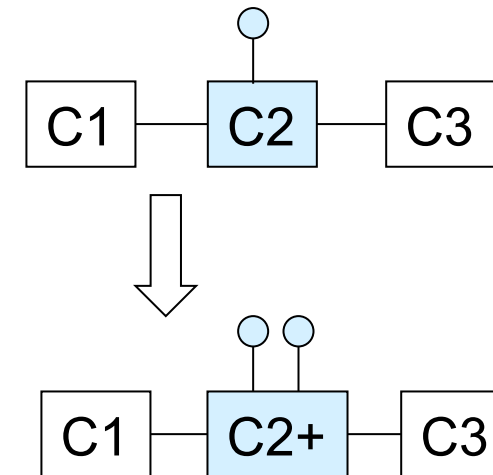


CONDITION.

Everything which comes from the first Tube fits to the second

Main principles: (3) Extensibility

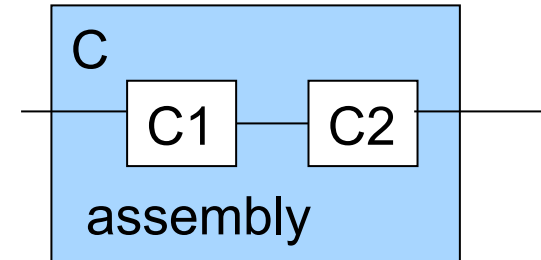
- ❑ Comes in two flavors:
 - extending components that are part of a system
 - Increase the functionality of individual components
- ❑ Added technical challenges:
 - Design-time: extensible architecture
 - Run-time: mechanism for discovering new functionality



Main principles: (4) Composability

□ Composition of components

- $P(c1 \circ c2) = P(c1) \circ P(c2) ??$
- Composition of functions
- Composition of extra-functional properties

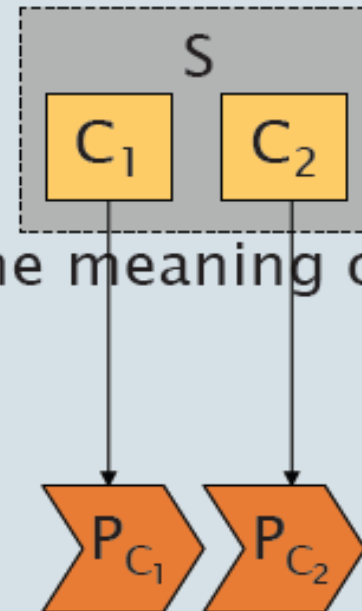


□ Many challenges

- How to reason about a system composed from components?
 - ✦ Different type of properties
 - ✦ Different principles of compositions

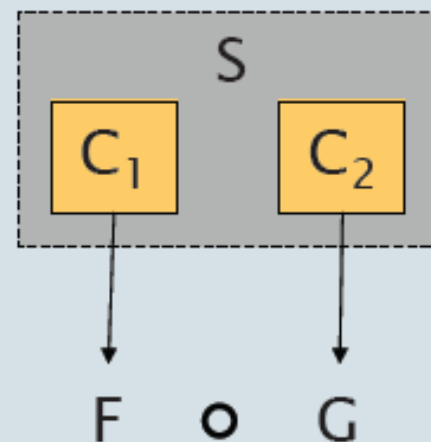
Compositional Reasoning

- Calculating properties of a system by combining properties of its constituents.
- If $S = C_1 \circ C_2$
- Then $P(S) = P(C_1) * P(C_2)$
- ‘Traditionally’ $P(C_i)$ denotes the meaning of C_i



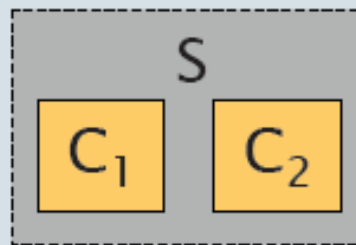
Compositional Reasoning: Functions

- Meaning $P(C)$ of program C can be a function from inputs to outputs
- Then composition is nicely modelled by function composition



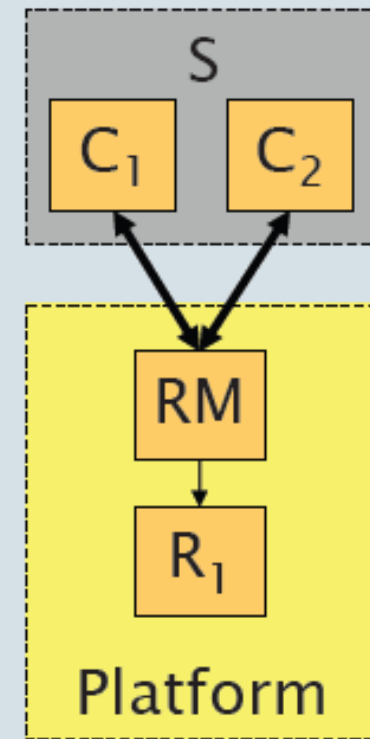
Predictable Assembly

- Same question, now for extra-functional properties.
- Let's consider dynamic memory-use
- Given the dynamic memory-use of C1 and C2.
- Now what is the dynamic memory use of S?



Complicating Factors in Compositional Reasoning about Extra-Functional Properties

- The property is not determined by the components only
- But also by the platform
 - platform may be OS + run-time environment
 - in particular the resource management
 - Scheduling
 - Memory management
- The information supplied by C_1 and C_2 is not sufficient to reason about the composition of their extra-functional properties.

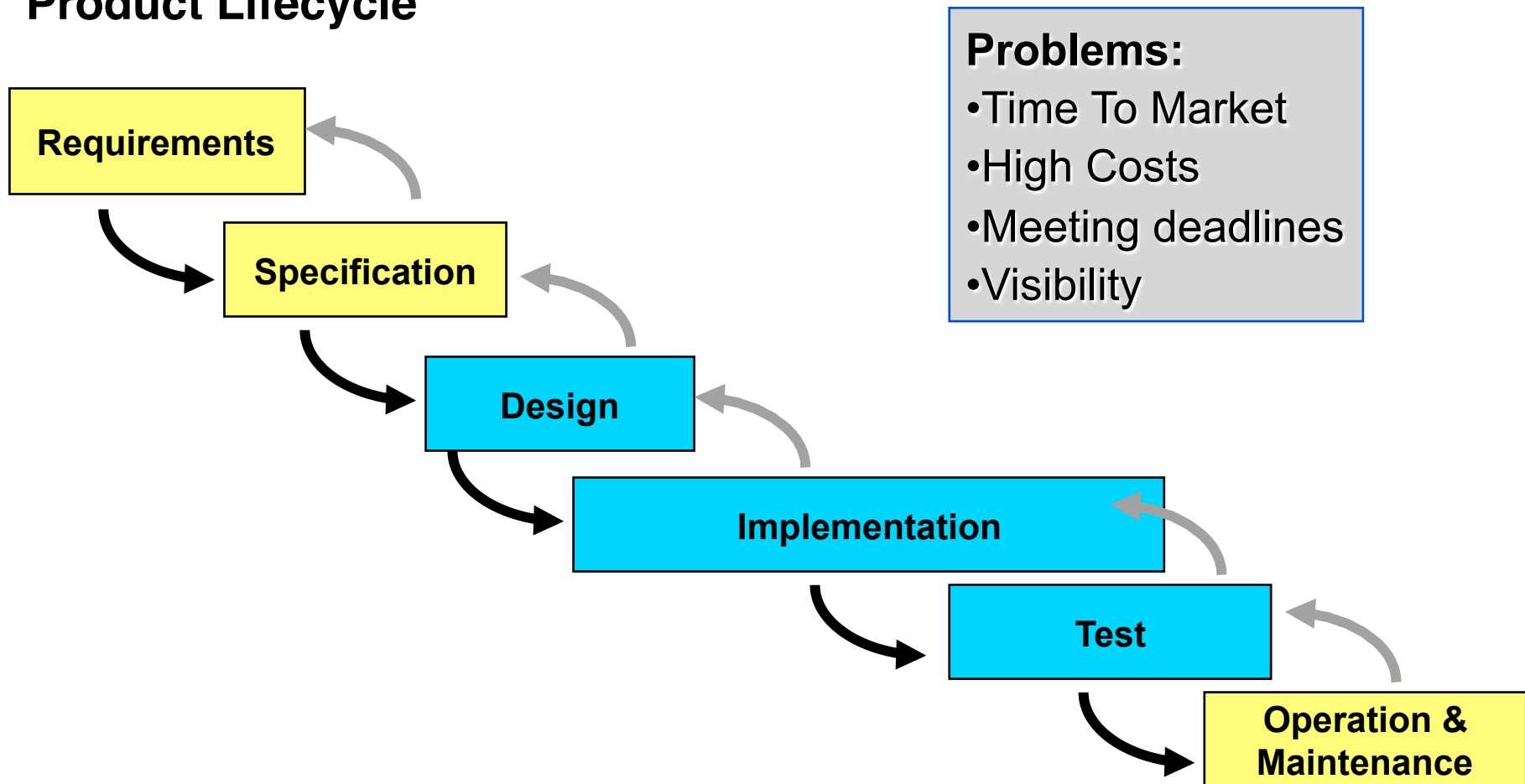


Part 5

Component-based software development process

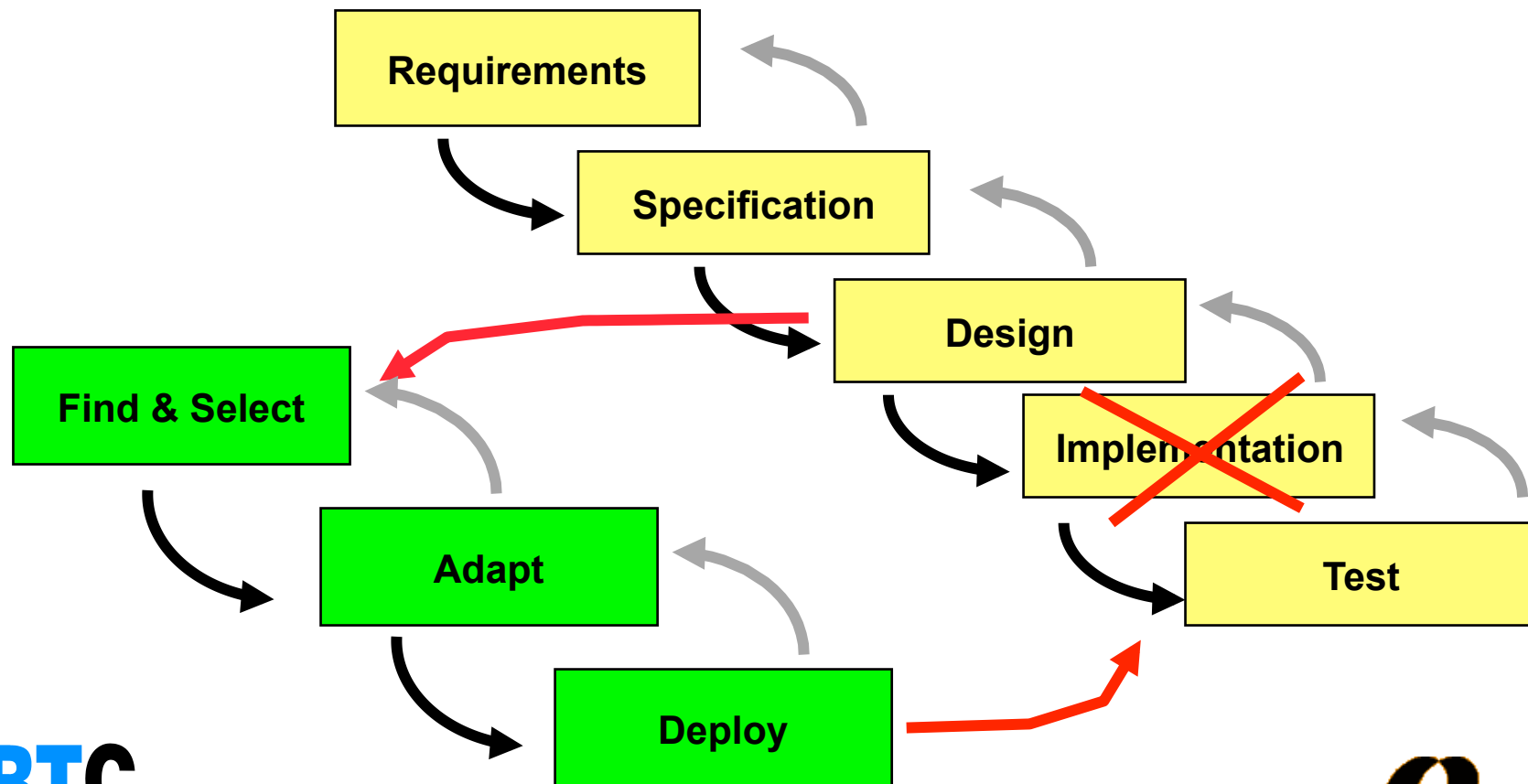
Time to Market – “Classical” Development Process?

Product Lifecycle



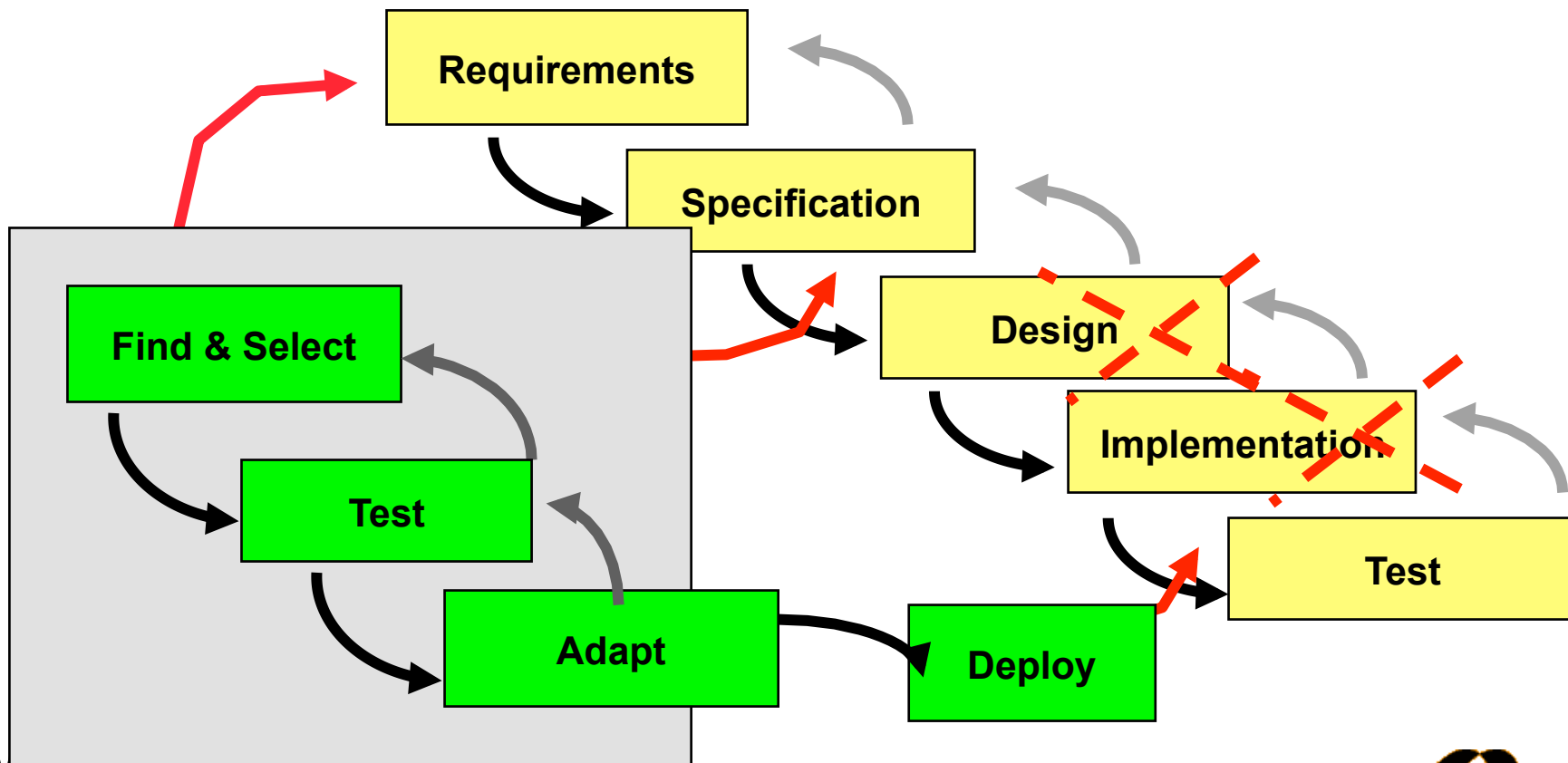
Development process

- ❑ COTS and outsourcing require different development processes

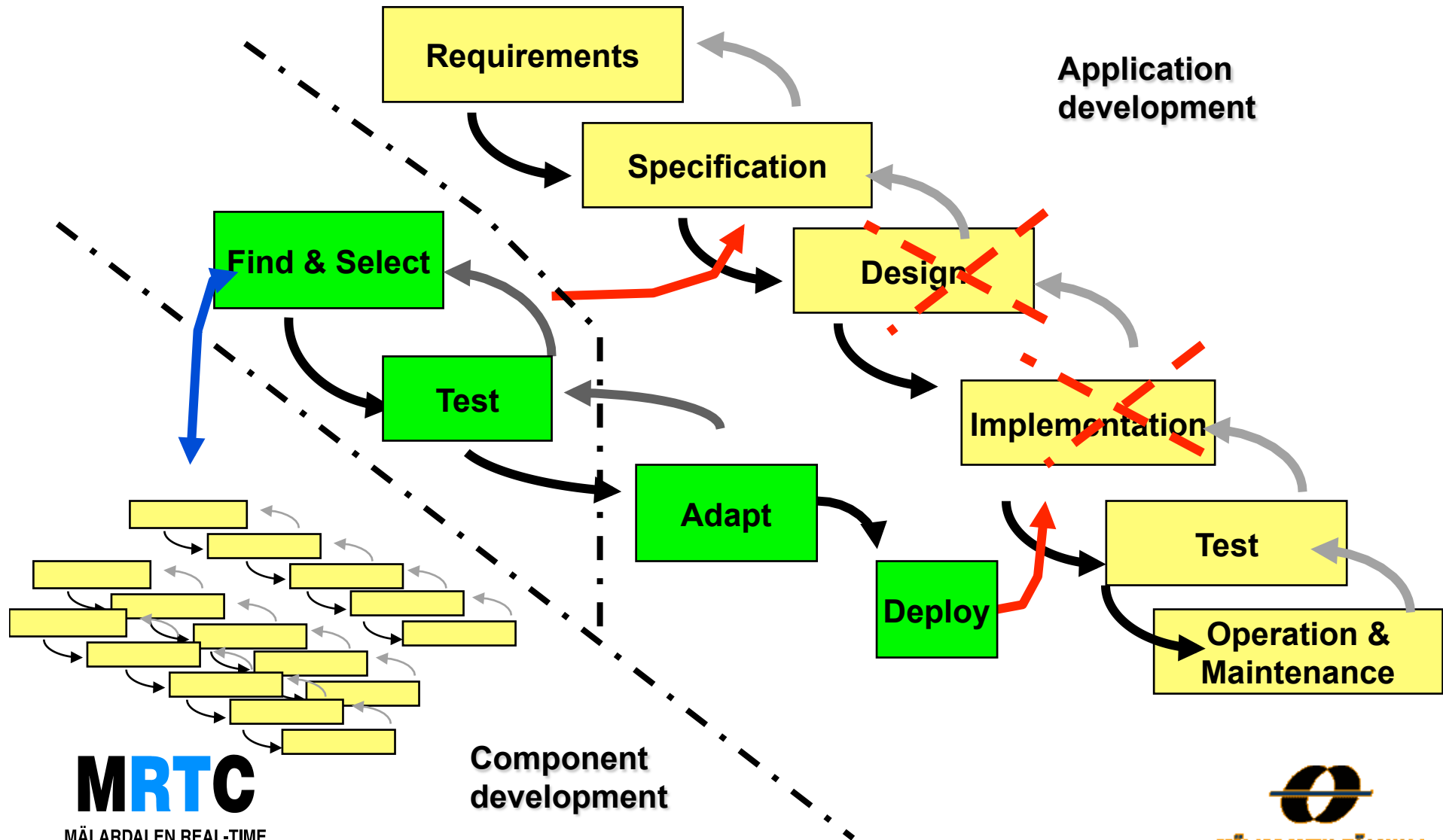


Development process – emphasize reuse

- ❑ Managing COTS in the early stage of the development process



CBD – separation of development processes

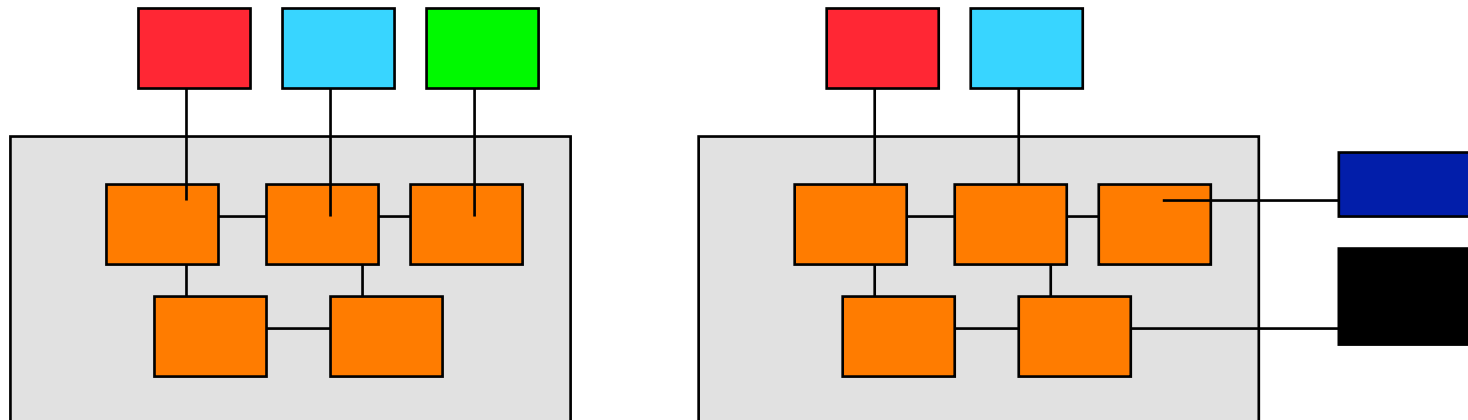


Types of component-based development

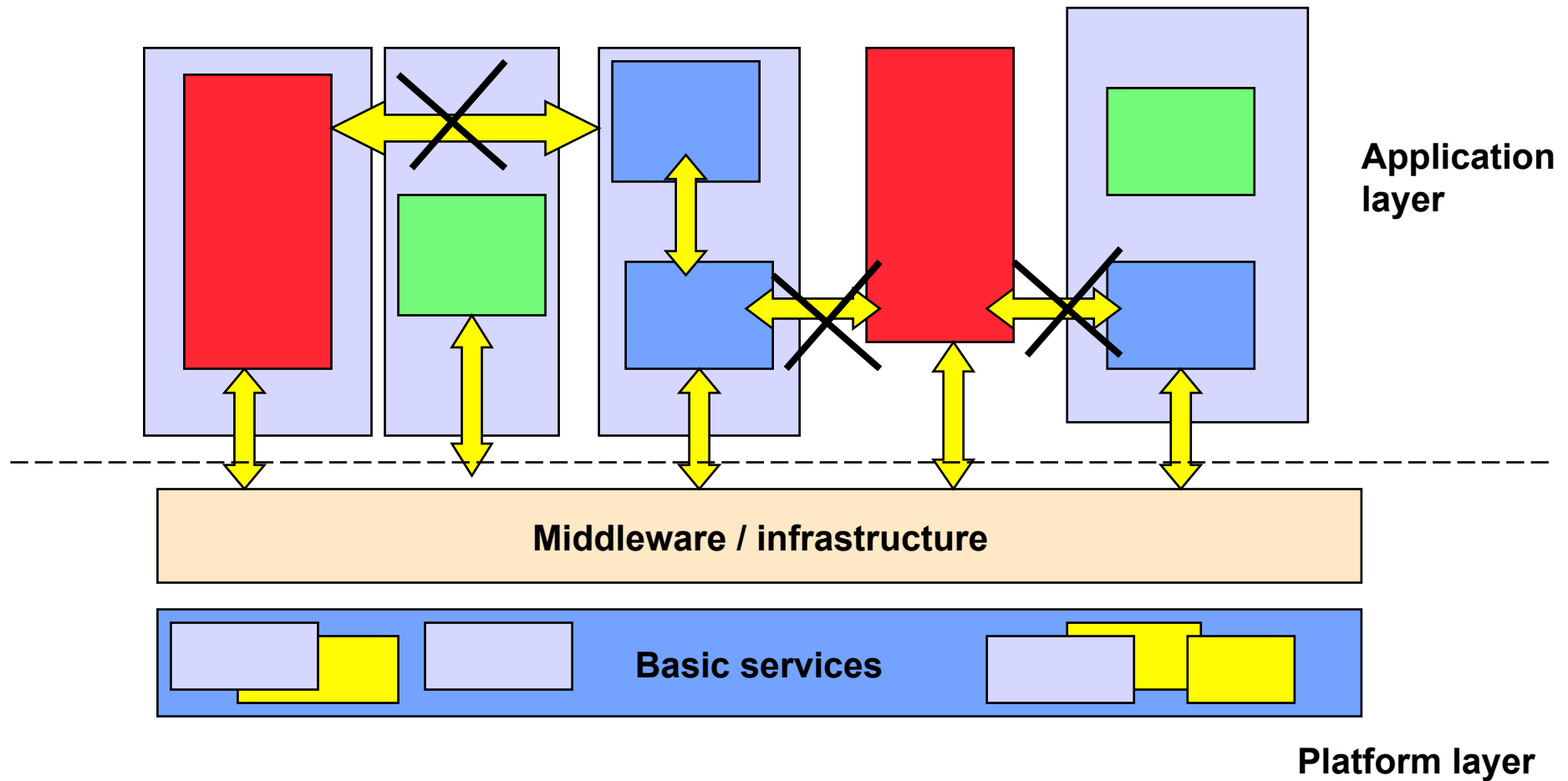
- ❑ Internal components as structural entities
- ❑ Reusable components developed in-house
- ❑ COTS (commercial off the shelf) components

Product Line Architecture

- ❑ Core components building a core functionality
(Basic platform)
- ❑ A set of configurable components combined building different products



Platform-based products



Advantages of Software Product Lines

- Using existing infrastructure**
 - Savings 30%-40% of efforts per product
 - Time to Market - improved
- Larger variety of products**
- Uniform and recognizable functionality/interface**
- Better scalability (not obvious!)**
- Better maintainability (not obvious!)**
- Better possibility of component replacement**

Building platforms

The Cathedral and the Bazaar?

La Sagrada Familia, Barcelona

**Building Started:
On March 19, 1882**

Still not completed

Is it worth to build it in that way?

**Similar with platform-based
And component-based development
Is it worth?**

MRTC

MÄLARDALEN REAL-TIME
RESEARCH CENTRE

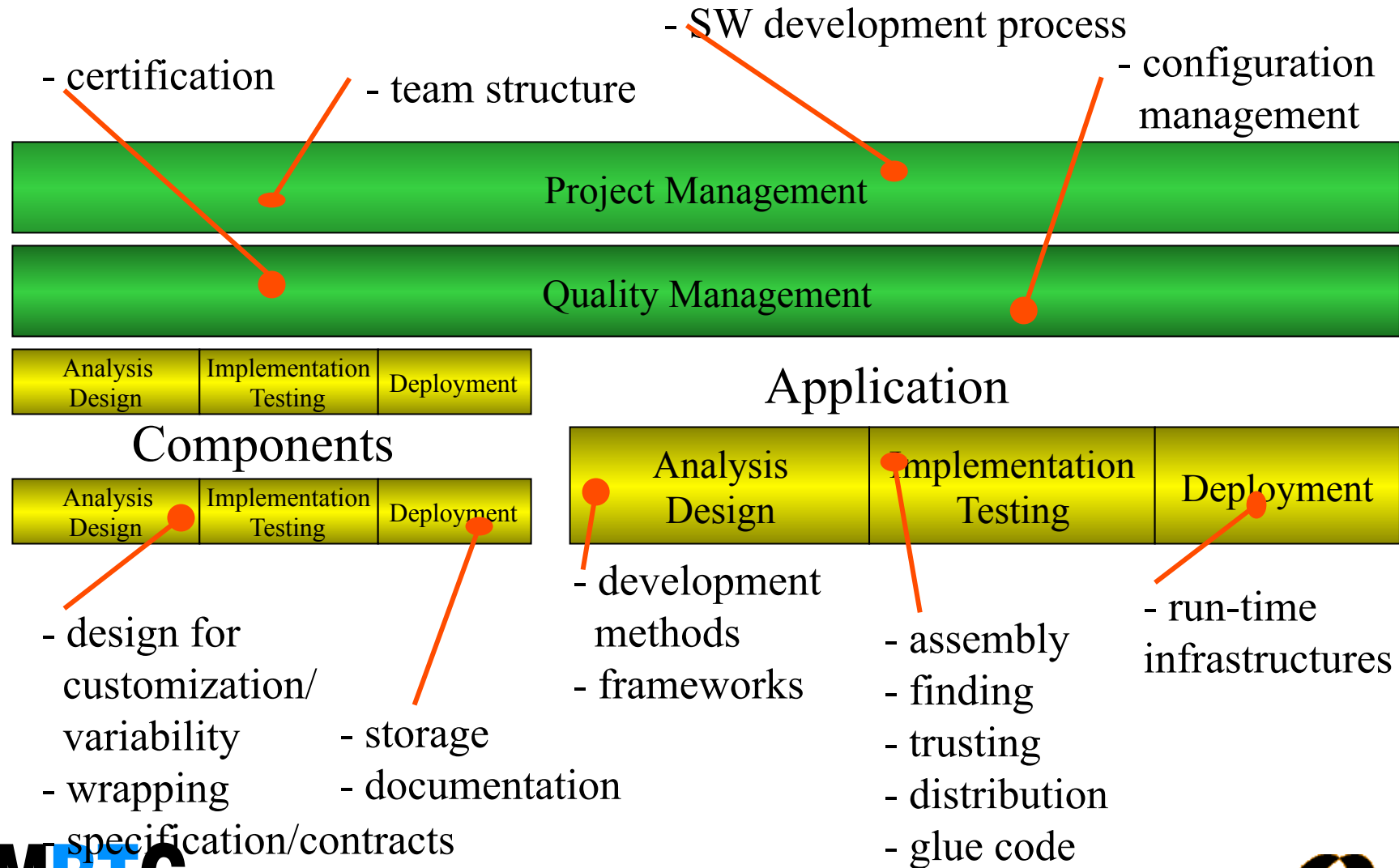




Part 6

Problems and research issues

CBSE research and the SW life-cycle



Specification

Are more than interface method definitions

- How to specify?**
 - Interfaces, behavior (pre-/post conditions, invariants)
 - dependencies (required interfaces)
 - quality of service
- How to test/verify component specifications?**
- How to document component specifications?**
- How to automatically connect components in builder tools using their specification?**
- How to verify the correctness of a composite system?**
- ...

Design for reuse

Design for reuse requires additional effort

- What is the best level of reuse (component granularity)?**
- How can the benefit of reuse be measured?**
- Development and documentation of component usage patterns**

Repositories

- ❑ How to store components?
- ❑ How to classify and describe components?
- ❑ How to find components?
 - fast
 - different aspects
 - ◆ interfaces
 - ◆ functionality
 - ◆ component model
 - ◆ certification level
 - ◆ previous usage, trust
 - negotiable requirements

Software development process

Current approach

requirements - analyses - design - implementation - test

CBSE approach must include

- reuse component selection
- component test
- requirements reconciliation

CBSE must be supported by

- modeling formalisms and tools
- development tools

Developing a component market

Imperative feature for component success

- Have to establish framework for ...?**
 - legal aspects (licensing and warranties)
 - technical abilities
 - economic forces
- Proven business case**
- Repositories, precise descriptions and search engines**
- Documentations and application support**

Versioning and configuration management

- ❑ **Is more complex than usually (DLL hell)**
 - especially in dynamic environments
- ❑ **Dependencies and composition constraints have to be resolved almost automatically**
 - consider systems comprising thousands of components
- ❑ **How to do safe exchange of components e.g. upgrade, without contractual specification and proof?**
- ❑ **All of the issues above are prerequisite for uploading and downloading of components**

Security

- ❑ **Requires trust and certification**
- ❑ **complicated by large group of (small) vendors**
- ❑ **'mobile code security' important**
 - not user access control but code access control
- ❑ **current mechanisms**
 - sandboxing: restricted functionality, restricted availability
 - codesigning: not necessarily suitable to establish trust
 - ◆ prove of problem origin
 - ◆ difficulty of persecution

Problems and research issues - Summary

- Contracts and documentation**
- Design for reuse**
- Repositories**
- Software development process**
- Organizational changes**
- Developing a component market**
- Versioning and configuration management**
- Security**
- Component models for embedded systems**



Part 7

Information sources

This presentations is based on:

❑ Ivica Crnkovic, Magnus Larsson:

Building reliable component-based systems

● Chapters:

● PART 1 The Definition and Specification of Components

✦ Chapter 1 Basic Concepts in Component-Based Software Engineering

✦ Chapter 2 On the Specification of Components

● PART 2 SOFTWARE ARCHITECTURE AND COMPONENTS

✦ Chapter 3 Architecting Component-based Systems

✦ Chapter 4 Component Models and Technology

● PART 3 DEVELOPING SOFTWARE COMPONENTS

✦ Chapter 6 Semantic Integrity in Component Based Development

❑ Ivica Crnkovic: CBSE - New Challenges in Software Development

❑ Ivica Crnkovic et al:

Specification, Implementation and Deployment of Components

MRTC

MÄLARDALEN REAL-TIME
RESEARCH CENTRE



Books

- ❑ **Clemens Szyperski: Component Software : Beyond Object-Oriented Programming:** (1998), 2003 – second edition
- ❑ **Alan W. Brown:** Large-Scale Component-Based Development
- ❑ **Betrand Meyer:** Object-Oriented Software Construction, 2nd
- ❑ **G.T. Heineman, W. Council:** CBSE Putting the Pieces Together
- ❑ **J. Cheesman, J. Daniels:** UML Components
- ❑ **K. Wallnau:** Building Systems from Commercial Components
- ❑ ***Ivica Crnkovic & Magnus Larsson:
CBSE - Building reliable component--based systems***

Journals

- IEEE Computer**
- IEEE Software**
- IEEE Internet Computing**
- IEEE Transactions on Software Engineering**
- IEEE Transactions on Computers**
- ACM Transactions on Programming Languages and Systems languages and programming systems.**
- ACM Transactions on Software Engineering and Methodology**
- ACM Transactions on Computer Systems**
- Software Development (www.sdmagazine.com)**
- ... all major SW development magazines**

Conferences

- ❑ International Workshop on Component-Based Software Engineering, ICSE, CBSE

<http://www.csse.monash.edu.au/~hws/CBSE10/>

- ❑ Euromicro CBSE track

<http://www.idt.mdh.se/ecbse/2007/>

Conferences

- International Conference on Software engineering (ICSE)
- ACM Conference on Object-Oriented Programming, Systems, Languages, and Applications (OOPSLA) (oopsla.acm.org)
- International Workshop on Component-Oriented Programming (WCOP)
- Symposium on Generative and Component-Based Software Engineering
- Technology of Object-Oriented Languages and Systems (TOOLS) (www.tools-conferences.com)
- International Conference on Software Reuse (ICSR)
- ESEC/FSE